

# **SAN JOAQUIN COUNTY**

## **PUBLIC SAFETY REALIGNMENT**

### **Phase 7 Implementation Plan**

**November 20, 2019**

#### **Executive Committee of the Community Corrections Partnership**

Mike Borges, Chief of Police, Escalon Police Department

Greg Diederich, Director, Health Care Services

Helen Ellis, Collaborative Courts Manager (Presiding Judge Designee)

Stephanie L. James, Chief Probation Officer (Chair)

Miriam Lyell, Public Defender

Tori Verber-Salazar, District Attorney

Pat Withrow, Sheriff



**SAN JOAQUIN**  
—COUNTY—  
*Greatness grows here.*

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## BACKGROUND

In an effort to address overcrowding in California's prisons and assist in alleviating the State's financial crisis, the Public Safety Realignment Act (Assembly Bill 109) was signed into law on April 4, 2011. AB109 transferred responsibility for supervising specified lower level inmates and parolees from the California Department of Corrections and Rehabilitation to counties. AB109 did not contain funding for county agencies to implement the realignment shift and was not operative until funding was provided for counties. On June 30, 2011, Governor Brown signed a series of legislative bills as part of the State budget that provided funding and made necessary technical changes to implement the Public Safety Realignment Act, which went into effect on October 1, 2011.

AB109 transferred responsibility for supervising non-violent, non-serious, non-sex individuals (non/non/non's or PRCS-Post Release Community Supervision) upon release from State Prison to County Probation in lieu of being supervised by State Parole. Further, any non/non/non's sentenced after October 1, 2011 are no longer eligible to serve their prison sentence in State Prison and instead must serve it at the County Jail (Penal Code 1170(h)). The third population realigned from state to local responsibility is parolees who are no longer revoked to State Prison; their revocation period is instead served at the County Jail and is capped at 180 days. AB109 allows counties maximum flexibility in developing interventions and sanctions at the local level.

The San Joaquin County Community Corrections Partnership has completed the following plans that were approved by the Board of Supervisors:

<b>Plan</b>	<b>Approved by Board of Supervisors</b>
2011 Implementation Plan	August 30, 2011
Phase 2 Plan	September 25, 2012
Phase 3 Plan	October 21, 2014
Phase 4 Plan	December 6, 2016
Phase 5 Plan	December 12, 2017
Phase 6 Plan	December 4, 2018

Plans were not submitted for Fiscal Years 2013-2014 or 2015-2016 as the Executive Committee only approved “status quo” budgets, which simply included salary and benefit increases and did not allow for any program enhancements or additions. The Phase 7 Public Safety Realignment Plan supplements and is not designed to replace any of the prior Public Safety Realignment Plans. The Phase 7 Plan outlines the budget for all programs and strategies that have been put into place in San Joaquin County to address Public Safety Realignment during the 2019-2020 Fiscal Year.

## **SAN JOAQUIN COUNTY FUNDING**

The Realignment Allocation Committee (RAC) formed by the California State Association of Counties (CSAC) was tasked with making recommendations to the State Department of Finance (DOF) for the statewide formula for AB109 funds. Based on the RAC’s recommendation, the “two year funding formula” for the 2012-2013 and 2013-2014 Fiscal Years allocated San Joaquin County 1.75% of the statewide allocation for the “base funding.” For the “growth funds,” a new formula was also developed by the RAC which reduced San Joaquin County’s “growth funds” allocation for 2012-2013 (received in fall of 2014) to 1.34% of the statewide allocation for the growth funds.

For the 2014-2015 Fiscal Year and beyond, the RAC was again tasked with coming up with a “permanent” funding formula to be approved by the DOF. The RAC developed separate formulas for base funding and growth funding.

The formula for base funds is comprised of the following: 22.5% from jail impacts (# of 1170h jail inmates); 22.5% from probation impacts (# of PRCS and felony probation population); 22.5% from Part 1 crimes in the county; 22.5% from county population aged 18-64; and 10% for poverty, small county minimums, or presence of state prison in the county. However, instead of being permanent, the RAC indicated this formula would be in place for approximately three to five years.

The proposed formula for the growth funds is based on “performance” beginning in 2015-2016 (based on performance during 2014-2015) and beyond: 60% from the Probation Department’s success with SB678 (where counties are incentivized for reducing new prison commitments, either at the state or local level, for the felony probation population); 20% for the Probation Department’s improvements

in failure rates from one year to the next (based on SB678); 10% based on reductions to state prison for felons with 2<sup>nd</sup> strikes; and, 10% for success as measured by having prison admission rates lower than the statewide per capita rate. However, for 2014-2015 to help counties with the transition of the shifting of funds, growth funds will be allocated based on 2/3<sup>rds</sup> performance and 1/3<sup>rd</sup> stabilization (based on permanent base share).

San Joaquin County’s estimated Base Funds were contained in the final State Budget. San Joaquin County’s actual Growth Funds were released by the Department of Finance on October 1, 2019. San Joaquin County received 3.0183% of the Growth Funds and continues to receive 1.94% of the Base Funds. Below is the estimated AB109 revenue to be received in 2019-2020:

<b>Revenue</b>	<b>Base</b>	<b>Growth</b>	<b>Total</b>
<b>2019-2020</b>	\$26,533,350	\$1,653,065	\$28,186,415

As in prior years, San Joaquin County will receive another AB109 Planning Grant in the amount of \$150,000 for submitting an updated Public Safety Realignment Plan to the Board of State and Community Corrections. These funds will be available at the end of December 2019.

## **LOCAL PLANNING AND OVERSIGHT**

### **Community Corrections Partnership**

The Executive Committee of the CCP oversees the realignment process and the development and implementation of the plan.

This plan was developed by the Executive Committee members, CCP members, and other key partners. Voting members of the Executive Committee are:

- Mike Borges, Chief of Police, Escalon Police Department
- Greg Diederich, Director, Health Care Services
- Helen Ellis, Collaborative Courts Manager (Presiding Judge Designee)
- Stephanie L. James, Chief Probation Officer (Chair)
- Miriam Lyell, Public Defender



- Tori Verber-Salazar, District Attorney
- Pat Withrow, Sheriff (designee Greg Williamson, Assistant Sheriff)

Non-voting members of the CCP are:

- Tom Patti, Board of Supervisors
- Mike Miller, Human Services Agency
- Jamie Mousalimas, County Office of Education
- Gabriela Jaurequi, Victim Witness
- John Solis, Employment and Economic Development
- Meredith Baker, Community Partnership for Families

Other CCP meeting participants include representatives from the Probation Department, Sheriff's Office, District Attorney's Office, Human Services Agency; Employment and Economic Development Department; Behavioral Health Services; Superior Court; Correctional Health Services; San Joaquin Community Data Co-Op, Fathers & Families of San Joaquin; Mary Magdalene Community Services; El Concilio; Community Partnership for Families of San Joaquin; Friends Outside; Ready to Work, Health Care Services; County Administrator's Office; County Office of Education; Stockton Police Department; Escalon Police Department; State Parole; local legislative representatives; various other public and private agencies, community and faith based organizations; and, system involved individuals, and community members at large.

### **Plan Oversight**

The San Joaquin County CCP continues to meet regularly through monthly Full Partnership meetings (informational meetings) and monthly Executive Committee meetings (business meetings). As monthly standing agenda items, a two-page Data Dashboard showing AB109 impacts as well as a Pretrial Dashboard are presented and reviewed, with any significant changes over the prior month highlighted.

In January 2014, the CCP formed an AB109 Oversight Committee. This committee is led by the Probation Department and is comprised of fiscal representatives from each of the funded agencies. During Fiscal Year 2015-2016, the AB109 Oversight Committee created fiscal guidelines and forms for One-Time Requests, Program Enhancement Requests, and Budget Modifications. The AB109 Oversight

Committee convenes to critically review One-Time Requests, Program Enhancement Requests, and Budget Modifications before submission to the Executive Committee, return requests for follow-up action or documentation, and make recommendations to the Executive Committee as to whether the request meets the established guidelines.

Also, beginning in January 2014, the AB109 Contract Services Monitoring Process was implemented. This detailed monitoring process is completed on each community based organization and treatment provider on an annual basis, with bi-annual reports going before the Executive Committee.

In May 2019, the AB109 Oversight Committee created a policy on Gift Cards, Incentives, and Clients Need Items. Additionally, in November 2019, the AB109 Oversight Committee revised the By Laws of the San Joaquin County Community Corrections Partnership that were adopted by the Executive Committee CCP on November 20, 2019.

The Community Based Organization (CBO) Roundtable is a quarterly meeting between representatives from the CBO's, the San Joaquin Community Data Co-Op, and the AB109 probation officers. The Roundtable is used for ongoing communication between the CBO's and the Probation Department so improvements can be made regarding consistency, referrals, reporting, graduation requirements, data collection definitions, services provided, and any other necessary changes that need to be made to better serve the clients.

In August 2019, the Probation Department added a monthly Orientation Meeting, and required all AB109 and Felony Probationers to attend upon their release from custody. The meeting gives several Community Based Organizations the forum to connect and describe their services to those clients re-entering the community. This orientation is in addition to the referrals to services each client receives during their intake assessment at the Probation Department. The organizations that attend include Fathers and Families, El Concilio, Mary Magdalene, Ready to Work, Community Partnerships for Families of San Joaquin and the Northern California Construction Training program. In addition, the Department will often invite Behavioral Health Services, Worknet and Transitional Housing.

## **IMPLEMENTATION STRATEGIES**

Phase 1 of Public Safety Realignment occurred during the first nine months and served as a foundation for addressing the impacts and needs of the realigned population. Phase 1 attempted to address the preliminary impacts to inmate housing and community supervision, while also addressing the supportive services, treatment, and programming needs of the realigned populations.

Phase 2 of Public Safety Realignment focused on data driven decision-making. The CCP used preliminary implementation and evaluation data to expand Phase 1 programs and develop new programs and services to address the needs of the realigned populations.

Phase 3 of Public Safety Realignment continues to fund the programs and strategies implemented during Phases 1 and 2. It also includes two new program components as well as enhancements that were made to respond to issues that arose since the implementation of Phase 2.

Phase 4 of Public Safety Realignment allowed each funded agency to request up to a ten percent increase for enhancements or additions for each service need area. This increase was in addition to the standard salary and benefit increases for government funded positions.

Phase 5 of Public Safety Realignment allowed for standard salary and benefit increases for government funded positions as well as an up to three percent increase for salary and benefit costs for non-governmental agencies. Additionally, it provided for \$1.5 million dollars in Pilot Projects.

Phase 6 of Public Safety Realignment allowed for standard salary and benefit increases for government funded positions as well as an up to three percent increase for salary and benefit costs for non-governmental agencies. Additionally, each funded agency was allowed to request up to a ten percent increase for enhancements or additions for each service need area. Lastly, it provided for an additional \$656,777 to continue to fund the AB109 Pilot Projects implemented during the 2017-2018 Fiscal Year through the end of the 2018-2019 Fiscal Year.

Phase 7 of Public Safety Realignment allowed for standard salary and benefit increases for government funded positions as well as an up to three percent

increase for salary and benefit costs for non-governmental agencies. Additionally, each funded agency was allowed to request up to a five percent increase for enhancements or additions for each service need area. The former AB109 Pilot Projects became part of the base services under the Phase 7 Plan.

## **PHASE 1 PROGRAMS AND STRATEGIES**

For more detailed information, refer to the 2011 Implementation Plan for Public Safety Realignment.

### **High Risk Unit**

The Probation Department implemented a High Risk Unit to provide community supervision services to the Post Release Community Supervision (PRCS) clients and the new individuals sentenced under 1170(h) PC, referred to as the Local Community Supervision (LCS) clients.

### **Evidence Based Programming**

The Probation Department utilizes the Static Risk Assessment Offender Needs Guide (STRONG) instrument to assess and identify the criminogenic needs of clients. These needs are then addressed through targeted interventions (i.e. evidence based programs, cognitive behavioral restructuring groups).

These evidence based programs have been offered to those clients released into the community through a balanced approach, by contracting with community based organizations and direct services provided by probation officers.

### **Home Detention with Electronic Monitoring or Global Positioning Satellite**

Home Detention with Electronic Monitoring (EMP) or Global Positioning Satellite (GPS) allows for the client to remain in their home in lieu of being incarcerated in jail, reserving beds in the County Jail for more serious and violent individuals. Both systems are viable solutions to alleviate much needed jail space and are appropriate intermediate sanctions for individuals who commit technical violations of probation as well as for those individuals who are sentenced on new low-level offenses.

### **Day Reporting Center Expansion**

The Probation Department expanded its existing Day Reporting Center (DRC) to serve the realigned population.

### **Assessment Center**

The Probation Department implemented the San Joaquin County Assessment Center in conjunction with San Joaquin County Behavioral Health Services (BHS), the San Joaquin County Employment and Economic Development Department (WorkNet), and the San Joaquin County Human Services Agency (HSA). The Assessment Center serves as a hub for the comprehensive delivery of services to clients as a result of the Public Safety Realignment.

### **Post Supervision Release Re-Entry Court**

The Superior Court of San Joaquin County implemented a Post Supervision Release Re-Entry Court based on the evidence based Drug Court Model. Clients are assigned to a compliance officer, in addition to being supervised by a probation officer and receive case management services, mental health treatment, substance abuse counseling, residential treatment, and academic and vocational programming.

### **Sheriff's Office (Jail Beds)**

Funding to re-open three housing units (210 beds) at the Honor Farm that had been previously taken off-line due to budget cuts to house the AB109 populations.

### **Sheriff's Office (In-Custody Programming)**

Funding was allocated to increase the use of evidence based programming for inmates. Additionally, funding was allocated for vocational programs as well as educational testing.

## **Sheriff's Office (Alternatives to Incarceration)**

The Sheriff's Office expanded the use of its Alternatives to Custody program of Home Detention and Global Positioning Satellite (GPS) electronic monitoring. Additionally, alcohol monitoring equipment was added for those individuals who meet the criteria for an alternative to incarceration and have an alcohol related offense.

### **Correctional Health Services**

Correctional Health Services provides health care to inmates housed in the County Jail. Funding was allocated for increased health care costs with the addition of 210 jail beds. Since individuals may be detained at the County Jail for longer than one year, costs have increased for inpatient, outpatient services, and pharmaceuticals.

### **Behavioral Health Services**

San Joaquin County Behavioral Health Services (BHS) provides mental health and substance abuse services at the Assessment Center, and at the Day Reporting Center. BHS also refers offenders to residential treatment through the various programs.

### **Educational Services**

Although not funded through Public Safety Realignment, the San Joaquin County Office of Education provides educational services at the Day Reporting Center and at the County Jail.

### **Job Training Assistance**

The Employment and Economic Development Department (EEDD) provides a variety of services, information, and training opportunities to help the realigned populations secure employment. WorkNet is stationed at the Assessment Center and also works with offenders at the Day Reporting Center.

### **Eligibility Screening for Human Services Agency Programs**

San Joaquin County Human Services Agency is housed at the Assessment Center and screens all realigned populations for eligibility for General Assistance, Cal Fresh, CalWorks, and Medi-Cal.

### **Transitional Housing**

For those individuals who do not qualify for General Assistance housing, transitional housing for a period of up to 45 days will be made available while the individual transitions back into the community.

### **Transportation Needs**

In order to eliminate some of the barriers individuals face, bus passes are made available through the Assessment Center to those who are making satisfactory progress.

### **Administrative Overhead**

Probation Department staff were added to the Administrative Services Division to assist with overseeing and managing the Public Safety Realignment Plan for San Joaquin County.

### **Data Collection and Evaluation**

Data Collection and Evaluation efforts for the San Joaquin County Public Safety Realignment Plan have been contracted with the San Joaquin Community Data Co-Op.

## **PHASE 2 PROGRAMS AND STRATEGIES**

For more detailed information, refer to the Phase 2 Public Safety Realignment Plan.

### **Sheriff's Office (AB109 Support)**

As a result of the significant increase in AB109 offenders in custody at the County Jail, AB109 support is being provided to the Sheriff's Office through a variety of staff positions to assist with oversight, monitoring, and coordination of services.

## **Community Based Organizations**

Four community based organizations are being provided funding to work with the realigned populations: Community Partnership for Families of San Joaquin; El Concilio; Fathers & Families of San Joaquin; and, Mary Magdalene Community Services. These agencies provide a variety of supportive services including integrated case management, wraparound services, mentoring, outreach, and linkages to pro-social activities and associates.

## **Warrant Reduction and Advocacy Program**

The Warrant Reduction and Advocacy Program (WRAP) pairs assertive outreach to those who are on the verge of triggering a warrant with community based wraparound services for clients and their families. WRAP aims to address unmet criminogenic needs which contribute to recidivism, permitting probation officers to spend their time targeted toward high risk individuals, and avoid unnecessary bench warrants which avert law enforcement from more critical duties.

## **Parole Re-Entry Court**

In response to the overwhelming number of parolees booked into the County Jail on 3056 holds, the Superior Court of San Joaquin implemented a Parole Re-Entry Court, based on the Drug Court Model. The Superior Court manages and limits the number of parolees housed at the County Jail on a parole revocation to no more than 40 on an average daily population. All follow-up supervision and treatment services continue to be paid for by State Parole. Public Safety Realignment funds are used to fund the court as a mechanism for handling the volume of parolees who are serving their revocation period at the County Jail.

## **High Violent Offender Court**

The Superior Court of San Joaquin County implemented a High Violent Offender Court, which is based on the Drug Court Model. A Probation Officer is assigned to monitor and supervise the caseload of clients in the program and works in collaboration with the case manager as well as various treatment and service providers. The primary goals of this court are to address recidivism, minimize revocations, address the criminogenic needs of the clients, and assist with the client's re-entry into the community.



## **Violent Crimes Unit**

The Probation Department implemented a Violent Crimes Unit (VCU) to specifically address the population of individuals that are most likely to reoffend for a violent crime. The VCU works a non-traditional schedule to address these clients outside of traditional work hours. The flexibility of working evenings and weekends provides added opportunities to provide services to this select population of clients and allows for additional close supervision.

## **Community Corrections Partnership Task Force**

The CCP Task Force is a collaborative between the Stockton Police Department, the Lodi Police Department, the Manteca Police Department, and the Tracy Police Department who works closely with the Violent Crimes Unit. The CCP Task Force is not responsible for supervising the realigned populations, but assists in conducting compliance checks and actively searching for wanted individuals. The CCP Task Force will balance its time between the host agency (Stockton Police Department) and the employer agencies. This hybrid deployment model is a force multiplier because it will maximize effectiveness by periodically including additional police officers.

## **Pretrial Assessment and Monitoring**

Funds were set aside in the Phase 2 Public Safety Realignment Plan for a Pretrial Assessment and Monitoring Program; however, full program and budgetary details were not known at that time. This is being added as a new program in the Phase 3 Public Safety Realignment Plan.

## **PHASE 3 PROGRAMS AND STRATEGIES**

### **Pretrial Assessment and Monitoring**

The Community Corrections Partnership is committed to implementing a validated Pretrial Assessment and Monitoring Program to better utilize our scarce jail beds by reserving detention for those individuals that pose a significant risk to the community or are at risk for failing to appear in court. A validated pretrial assessment tool will assist in determining whether offenders should be released or remain detained pending their court proceedings. Additionally, pretrial monitoring

will provide a continuum of monitoring services based on the individuals risk to reoffend while going through the court process and likelihood to appear in court.

With the assistance of the National Institute of Corrections and the Crime and Justice Institute, the Pretrial Subcommittee has been diligently working since August 2013 on developing a robust Pretrial Assessment and Monitoring Program in San Joaquin County. This program was implemented on October 27, 2014.

### **Parole Revocation Hearings**

Beginning in July 2013, Parole Revocation hearings were transferred from the responsibility of the Board of Parole Hearings to local Superior Court. This resulted in an increase in workload for both the District Attorney's and Public Defender's Offices; therefore, the Phase 3 Public Safety Realignment Plan included funding for one attorney for each office.

## **PHASE 3 ENHANCEMENTS**

### **High Violent Offender Court**

It was originally anticipated the individuals referred to the High Violent Offender Court would not have significant substance abuse issues. However, since the High Violent Offender Court began in January 2013, 80% of the clients had substance abuse issues. Therefore, additional funds were allocated for residential treatment, outpatient treatment, narcotic replacement, and sober living environments.

### **Correctional Health Care**

With the additional jail beds reopened at the Honor Farm (from 170 in 2011-2012 to 210 in 2012-2013), an additional nurse was needed to work the "p.m." shift five days a week. The nurse provides mandated health and mental health assessments, triage, emergency medical care, sick calls, labs, treatments, and medication administration. This additional position reduced the cost of transporting the inmates to the main jail or the hospital when they need to be evaluated by medical staff during the evening hours.

## **PHASE 4 ENHANCEMENTS**

### Pretrial Assessment and Monitoring Unit

The Pretrial Assessment and Monitoring Program went live on October 27, 2014. The Pretrial Assessments Unit (PAU) conducts assessments using the Virginia Pretrial Risk Assessment Instrument on all eligible bookings into the County Jail. The PAU makes detain/release recommendations based on the risk score and prepares all paperwork for the Court. The Pretrial Monitoring Unit (PMU) provides a continuum of monitoring services, which includes court reminders, telephone calls, office visits, global positioning satellite services, and home visits based on the clients risk level.

### Assessment Center

The Assessment Center was moved to a larger location within the Canlis Building and additional non-AB109 resources from the various agencies were allocated so that in addition to the AB109 clients, adult probationers can also receive limited services through the Assessment Center.

### Day Reporting Center

The Probation Department received Technical Assistance from the University of Cincinnati Correctional Institute (UCCI) to redesign the Day Reporting Center. As a result, a Passport was created to track dosage hours for evidence based programming. Additionally, through the creation of phases, evidence based programming was able to be offered with more entry points into the groups. A variety of cognitive behavioral interventions are provided by probation staff, community based organizations, and Behavioral Health Services: Orientation, Foundations, Common Sense Parenting, University of Cincinnati Correctional Institute (UCCI) Cognitive Behavioral Intervention for Substance Abuse (CBI-SA), Social Skills, Problem Solving, and Anger Control Training. UCCI designed some of these curricula from Thinking For a Change (T4C) and Aggression Replacement Training (ART) by separating them into smaller modules so there are more entry points. During completion of Phase 2, clients are also eligible to participate in the Northern California Construction Training program, a vocational education program. These changes were implemented in January 2015.

## High Risk Unit and Violent Crimes Unit

Based on the early success of the DRC's Resdesign, the High Risk Unit and the Violent Crimes Unit replicated the Passport and programming offerings in order to increase the dosage of evidence based programming received by the clients. This programming redesign was implemented in both units in August 2016.

## Evidence Based Programming

The Probation Department has formed a Continuous Quality Improvement Committee (CQI) to maintain and improve fidelity and inter-rater reliability of our evidence based programs. Coaches and liaisons observe facilitators and staff in the areas of assessments, group interventions, and individual interventions. Outcome measures are reported to the CQI in order to make data driven decisions on training needs, program implementation, and improvements to program delivery. The CQI is in the process of creating a dashboard across Probation Units and Divisions, as well as the Department as a whole to display facilitator competence levels.

## Correctional Health Care

With the addition of a Mental Health Specialist (MHS), Correctional Health Services is putting forth an effort to reduce recidivism. The MHS provides case management, individual/group counseling, and crisis management. In addition, the MHS will follow the inmate/patient for 30 days after release from custody to ensure the inmate/patient has made their initial appointments, established contact with community based organizations and/or county mental health and confirm that follow-up appointments have been set.

## Behavioral Health Services

San Joaquin County Behavioral Health Services added a Mental Health Clinician III (MHCIII) to expand the presence in the collaborative court programs. There is now continuous coverage in the Mandatory Supervision Court, Parole Re-Entry Court, Post Release Supervision Court, and Monitoring Court. The MHCIII is available to provide clinical supervision to all BHS AB109 clients in court and in the Assessment Center.

### Transitional Housing

Transitional Housing was expanded from a 45-day period to a 90-day period for those clients who do not qualify for General Assistance Housing. Transitional Housing may be provided at any time during the clients period under community supervision and is not strictly limited to their immediate transition back into the community.

### Parole Re-Entry Court

The Court was able to obtain funding outside of AB109 for the Parole Re-Entry Court; therefore, funds were shifted to create a new court, Mandatory Supervision Court. However, the Parole Re-Entry Court continues to be included in the AB109 Evaluation.

### Mandatory Supervision Court

The Mandatory Supervision Court was developed to address possible reintegration issues for those sentenced under 1170(h) and who are transitioning from jail back into the community. The program begins to provide assistance before the inmates are releasee by comprehensively addressing barriers to successful re-entry. The program is a collaborative effort between Superior Court, the Probation Department, and Behavioral Health Services. This court was implemented in January 2015 as the Local Community Supervision Court; however, it was renamed Mandatory Supervision Court to match the California Penal Code description.

### Monitoring Court

Due to the possible negative connotations associated with the High Violent Offender Court's name, it was changed to Monitoring Court.

### Community Corrections Partnership Task Force

The Community Corrections Partnership (CCP) Task Force was expanded to include a deputy from the San Joaquin County Sheriff's Office. Additionally, a probation officer from the Probation Department's Violent Crimes Unit was also assigned to the CCP Task Force.

### Community Based Organizations

The services provided to clients by the Community Based Organizations has expanded to also include peer support mentoring and activities, social skill building, job search, vocational training, and paths to positive reintegration into the community. Additionally, when necessary, services are provided to the client's family to improve outcomes on both the individual and family level, bringing stabilization to the entire family.

### Parole Revocation Hearings

Although the number of petitions have decreased for revocations of parole, AB109 mandates the District Attorney's Office to prosecute and the Public Defender's Office to defend violations of Local Community Supervision and Post Release Community Supervision.

## **PHASE 5 ENHANCEMENTS**

On February 22, 2017, the Community Corrections Partnership (CCP) Executive Committee approved setting aside up to \$1.5 million for the funding of pilot projects. The following is a description of the AB109 Pilot Projects, which may serve AB109 or felony probation clients:

### Ready to Work

Ready to Work's program called HARP (Homelessness and Recidivism Prevention) serves AB109 clients and felony probationers who are homeless, assessed as likely to become homeless, or at risk of homelessness upon discharge. Transitional housing as well as case management, life skills coaching, household planning and basic employment training is provided. Other critical support services are provided by partner agencies such as physical health care, mental health care, substance abuse services, education, employment training, employment, and permanent housing assistance.

### Correctional Health Services/Behavioral Health Services

Correctional Health Services and Behavioral Health Services partnered to provide Medication Assisted Therapy (MAT) for people suffering from opioid addiction.

These services start in custody for AB109 clients with co-occurring mental health and opioid use disorders. Services also include Cognitive Behavioral Therapy to address additional issues, crisis intervention and management, psychiatric medications, individual therapy, group therapy (i.e. Seeking Safety, Thinking for a Change) and discharge planning.

### Friends Outside

Friends Outside offers a targeted and purposeful recidivism reduction program to inmates in the County Jail who are referred by the Sheriff's Department. These AB109 and felony probation clients who are within six months of release receive case management services, coaching, reentry planning, and a variety of evidence based programs to address their criminogenic needs.

### El Concilio

El Concilio's Alternative to Violence Program serve AB109 and felony probationers. The Alternative to Violence program is a conflict transformation program that has a series of three workshops designed to offer participants alternative ways to address conflict rather than resorting to physical, mental, or emotional violence.

### Community Partnership for Families

Community Partnership for Families expanded employment and training services to AB109 clients that are currently receiving CalFresh benefits. The program operates under the evidence-based model known as The Fresno Bridge Academy that provides three components: 1) job club/job search; 2) job retention; and 3) education. These components include assessment, case management, and workshops/trainings designed to increase the employability of participants.

### Public Defender/Uptrust, Inc.

The Public Defender and Uptrust, Inc. provide a two-way communication and reminder service to AB109 clients. However, Uptrust offered to include its services to non-AB109 clients at no additional costs. Uptrust integrated into the Public Defender's case management system, trained their staff, developed a custom real-time dashboard and provides periodic reports with insights and benchmarks, and sends messages to every Public Defender client to reduce the number of failures to

appear in court. The system can also be used to remind clients of other appointments related to receiving other AB109 services.

### Mary Magdalene Community Services/Fathers & Families of San Joaquin

Mary Magdalene Community Services and Fathers & Families of San Joaquin replicated case management services currently being provided to AB109 clients to felony probationers. Services include case management, peer groups, job-seeking skills training, transportation, advocacy, participation in pro-social activities, family reunification, and direction to other available community resources (i.e. housing, food, employment agencies).

## **PHASE 6 ENHANCEMENTS**

Funded programs were able to request an up to ten percent increase to provide enhancements to the Service Need Areas; therefore, there were increases in staffing as well as other budget line items related to the various AB109 budgets. Below are some of the more significant changes occurring in the Phase 6 Plan.

### Pretrial Assessment and Monitoring Program

During Fiscal Year 2017-2018, the Probation Department contracted with the Crime and Justice Institute to evaluate the county's pretrial services program in addition to norming and validating the Virginia Pretrial Risk Assessment Instrument. Since the technical assistance review is still underway, the Probation Department requested the 10% increase be reserved until the recommendations from the Crime and Justice Institute are received and a Budget Modification can be submitted.

### Correctional Health Services

Funding was provided to add 24/7 Mental Health Coverage in booking for the early detection and identification of all individuals booked into the jail who potentially have an undiagnosed mental illness or who are active mental health patients. The in-depth mental health assessment will be provided to all individuals at the point of booking. Specific case management services will be provided to the individuals still in custody as well as support services to individuals released from custody.



## Community Corrections Partnership Task Force

Fiscal Year 2017-2018 was the last year that local law enforcement received direct funds from the State as a part of AB109. As a result of the reduction in funding from the State, the funding for the CCP Task Force was increased to cover the cost of 1.55 FTE of police officers from the Stockton Police Department.

## AB109 Pilot Project Extensions

The AB109 Pilot Projects were funded during the 2017-2018 Fiscal Year; however, due to the delay in getting the programs up and running, the Executive Committee determined we needed additional data in order to effectively evaluate the pilot projects. Therefore, continued funding was provided to continue the projects through the 2018-2019 Fiscal Year. Additional funding was requested and provided to Ready to Work, El Concilio, Community Partnership for Families, Public Defender/Uptrust, Mary Magdalene Community Services, and Fathers & Families of San Joaquin.

## **PHASE 7 ENHANCEMENTS**

Funded programs were able to request an up to five percent increase to provide enhancements to the Service Need Areas; therefore, there were increases in staffing as well as other budget line items related to the various AB109 budgets. Below are some of the more significant changes occurring in the Phase 7 Plan.

### Pretrial Assessment and Monitoring Program

Due to the significant increase in clients being ordered to GPS by the Courts, an additional Probation Officer I/II position was added. Additionally, due to the significant increase in the number of DUI clients that are being placed on transdermal monitoring, additional funds for transdermal monitoring were approved by the Executive Committee of the CCP.

### Jail Beds – Sheriff's Office

The Sheriff's Office added two full-time Social Worker positions for discharge planning for inmates sentenced within the County Jail that do not receive discharge planning through Correctional Health Services. The Social Workers will engage

inmates to resolve some of the hurdles faced: transportation, housing, medical services, job placement, and referrals and connections with community providers.

### Transitional Age Youth Unit – Probation Department

Recent research in adolescent brain development has shown that youth age 18-25 are still undergoing significant cognitive brain development and are in need of additional services. Data gathered from the AB109 Year 6 report shows that 81% of the 18-25 year-old population had at least one arrest and 63% had at least one conviction within 3 years from their release from custody. The arrest rate is 14.4% higher and the conviction rate is 11% higher than the remaining AB109 population.

In 2019-2020, the Transitional Age Youth Unit (TAY) is being created to address the needs of clients' ages 18 to 25 years-old that are deemed to be at high risk for re-offending. An AB109 Probation Officer III position will supervise appropriate cases from the High Risk Unit and the Violent Crimes Unit.

The unit is designed to provide added support and supervision for at-risk young adults including, but not limited to, mental health, education, job training and assistance, and cognitive behavioral programming. Officers assigned to the unit are trained in the principles of effective correctional interventions and cognitive behavioral curricula.

### Correctional Health Services

There was an omission in the Phase 6 Plan and it should be noted that Correctional Health Services provides medical, dental, and mental health services to the inmates housed at the mail jail.

During Phase 7, Correctional Health Services purchased and began offering an In-Cusody Drug Treatment Curriculum entitled A New Direction. This cognitive behavioral treatment curriculum is an evidence based flexible program that treats addiction in justice involved clients and is proven to reduce recidivism. Topics include: Introduction to Treatment; Criminal and Addictive Thinking; Alcohol and Other Drug Education; Relapse Prevention; Socialization; Preparing for Release; and, Co-Occuring Disorders.

### Behavioral Health Services

A clinician was added to provide in-custody services to clients who have co-occurring disorders. The clinician facilitates Seeking Safety, CBI-SA, and the Matrix groups to clients in the County Jail. He also provides case management services as they are being released into the community.

### Employment and Economic Development Department

An In-cusody, pre-release component was added to the San Joaquin County Jail/Honor Farm to include a variety of workshops and sessions that will assess the needs for an individualized service strategy that, once released, will facilitate the transition into employment.

### Superior Court

A portion of a Mental Health Clinician was funded to work with clients at the courthouse on a weekly basis. The Mental Health Clinician will be located in the Collaborative Courts and available to meet with clients to address trauma.

### Friends Outside

There was an omission in the Phase 6 Plan and it should be noted that during the 2018-2019 Fiscal Year, Friends Outside began providing services to the Pretrial population. They were able to increase the number of clients they service without any additional funding.

### Community Partnership for Families of San Joaquin

Community Partnership for Families expanded service delivery to the AB109 population with the addition of four new components to enhance services and successful closure rates. These additional services are: 1) adding court appearance assistance; 2) the creation of a new AB109 Mobile Service Integration Coordinator; 3) custody visitation prior to release dates; and 4) a new partnership with Root and Rebound, re-entry advocates who provide training for CPFSJ clients.

## El Concilio

Funding was added AB109 and Felony Probation clients to attend the court-ordered DUI program at no cost once Phases 1 and 2 of the Probation Department's Passport Program has been completed. These clients can be referred from all of the AB109 Community Based Organizations as long as the client meets the criteria. Additional funding was allocated to increase a part-time case manager to a full-time case manager as a result of the increase in DUI clients being referred to the DUI programs offered by El Concilio, who is the only AB109 community based organization that provides this service.

## Fathers & Families of San Joaquin

Fathers & Families of San Joaquin expanded service delivery to the AB109 population by: expanding current groups/classes and other events for clients and their families; increasing prosocial involvement in the community; and building positive peer relations. These activities provide opportunities to strengthen coping mechanisms and life skills through trusted relationships and accountability.

## Community Partnership for Families – Cal Fresh AB109 Employment and Training

Community Partnership for Families of San Joaquin added the following enhancements: tutoring support and an employment navigator position.

## Fathers & Families of San Joaquin – Probation Case Management

Fathers & Families of San Joaquin expanded service delivery to the AB109 population by: expanding current groups/classes and other events for clients and their families; increasing prosocial involvement in the community; and building positive peer relations. These activities provide opportunities to strengthen coping mechanisms and life skills through trusted relationships and accountability.

## **DATA COLLECTION AND EVALUATION**

The Community Corrections Partnership continues to be committed to data driven decision making. Since the implementation of Public Safety Realignment, a 6-month Preliminary Evaluation Report, a 1-Year Evaluation Report, the 2014 Annual Report, the 2015 Annual Report, the 2016 Annual Report, the 2017 Annual Report,

the 2018 Annual Report, and the 2019 Annual Report have been published. Currently, data is being collected for the 2020 Annual Report.

In April 2012, the Executive Committee of the CCP adopted a definition of “recidivism” as a conviction of a new crime within three years of the start of supervision. However, other measures of recidivism, such as arrests and technical violations are also collected and analyzed. The Board of State and Community Corrections was tasked by the legislature to define the term “recidivism.” In November 2014, the BSCC presented its final version of the definition of “adult recidivism,” which is “defined as a conviction of a new felony or misdemeanor committed within three years of release from custody or committed within three years of placement on supervision for a previous criminal conviction.” As noted by the BSCC, *committed* refers to the date of offense, not the date of conviction. The San Joaquin County CCP fully adheres to the BSCC definition.

Beginning with The 2016 Annual Report: An Examination of AB109 Recidivism in San Joaquin County in Year 4, San Joaquin County was able to track its official recidivism rate (co-horts tracked for a full three year period; however, if an arrest occurred during the 3-year period and the conviction was outside of the 3-year period, the conviction was still included).

The official recidivism rate for AB109 clients in San Joaquin County is below:

<b>Co-Hort Release Dates</b>	<b>3-Year Recidivism Rate</b>
October 1, 2011 – September 30, 2012	55%
October 1, 2012 – September 30, 2013	49.5%
October 1, 2013 – September 30, 2014	53.1%
October 1, 2014 – September 30, 2015	48.5%

These recidivism rates will continue to be used to measure San Joaquin County’s success moving forward.

Additional recidivism information can be found in The 2019 Annual Report: An Examination of AB109 Recidivism in San Joaquin County In Year 7 as well as additional evaluation data that collected from the Collaborative Court programs, Behavioral Health Services, Employment and Economic Development Department, Human Services Agency, and the community based organizations providing case management to the AB109 clients.

The San Joaquin Community Data Co-Op produces a monthly Pretrial Dashboard highlighting impacts of the Pretrial Assessment and Monitoring Program. Additionally, a Quarterly Report and an Annual report evaluate the success and outcomes of the Pretrial Assessment and Monitoring Program.

Since the Pretrial Assessment and Monitoring Program has been implemented in October 2014, the outcomes have been impressive as the table below reflects:

<b>Annual Report</b>	<b>Appearance Rate</b>	<b>Failure Due to a New Charge</b>	<b>Failure Due to a Court Remand</b>
Year 1 (10/14 - 9/15)	90.7%	2.1%	3.4%
Year 2 (10/15 – 9/16)	92.7%	1.8%	1.5%
Year 3 (10/16 – 9/17)	91.7%	3.3%	2.5%
Year 4 (10/17 – 9/18)	95%	2.6%	1.6%
Year 5 (10/18 – 9/19)	94.9%	2.7%	1.8%

The San Joaquin Community Data Co-Op also published an evaluation study centering on dosage hours of evidence based programming received in the Day Reporting Center and its effect on recidivism rates for 2017 (Attachment A).

Findings for clients enrolled at the Day Reporting Center (from January 1, 2017 through December 31, 2018) were checked for recidivism 365-days post enrollment and showed a decrease in arrests, and convictions as evidence based programming hours increased. The below table shows the findings for both studies:

<b>2017</b>	<b>Arrests</b>	<b>Convictions</b>
0-19.5 Hours	63.8%	37.2%
20-39 Hours	50.0%	22.2%
40 or More Hours	31.7%	19.5%

### **AB109 PUBLIC SAFETY REALIGNMENT BUDGET**

Funding for Fiscal Year 2019-2020 was approved by the Executive Committee on March 13, 2019 and was incorporated into each County Department’s budgets during the budget cycle. The contracts for Friends Outside, Community Partnership for Families of San Joaquin, El Concilio, Fathers & Families of San Joaquin, Mary

Magdalene Community Services, the San Joaquin Community Data Co-Op, Superior Court, and the substance abuse providers through the Superior Court programs were approved by the Board of Supervisors on June 11, 2019. The contract with the City of Stockton for the CCP Task Force were approved by the Board of Supervisors on July 9, 2019.

Attachment C shows a comprehensive breakdown by agency and service need area for the base plan for Fiscal Year 2019-2020. The program enhancements for Transdermal Monitoring and El Concilio were approved by the Executive Committee on September 18, 2019 and are not included in Attachment C.

Below are the proposed budgets for each program funded by the Phase 7 Public Safety Realignment Plan.

**Probation Department**

Pretrial Assessment and Monitoring Program

<b>Proposed Budget Line Items</b>	<b>Proposed Budget</b>
1. Salaries and Benefits	\$1,607,674
2. Services and Supplies	
a. General Expenditures	\$48,068
b. Client Related Expenditures	
3. Professional Services	\$437,848
4. Administrative Costs	\$173,149
5. Fixed Assets/Equipment	
<b>TOTAL</b>	<b>\$2,266,739</b>

A Program Enhancement Request in the amount of \$91,875 under Professional Services was approved on September 18, 2019.

## Sheriff's Office

### Jail Beds

<b>Proposed Budget Line Items</b>	<b>Proposed Budget</b>
1. Salaries and Benefits	\$3,283,139
2. Services and Supplies	
a. General Expenditures	\$29,546
b. Client Related Expenditures	\$1,333,717
3. Professional Services	\$10,000
4. Administrative Costs	\$26,367
5. Fixed Assets/Equipment	\$12,600
<b>TOTAL</b>	<b>\$4,695,369</b>

### Jail Programming

<b>Proposed Budget Line Items</b>	<b>Proposed Budget</b>
1. Salaries and Benefits	\$338,019
2. Services and Supplies	
a. General Expenditures	\$31,246
b. Client Related Expenditures	
3. Professional Services	\$73,300
4. Administrative Costs	\$3,086
5. Fixed Assets/Equipment	
<b>TOTAL</b>	<b>\$445,651</b>



Alternatives to Incarceration

<b>Proposed Budget Line Items</b>	<b>Proposed Budget</b>
1. Salaries and Benefits	\$286,634
2. Services and Supplies a. General Expenditures b. Client Related Expenditures	\$23,424
3. Professional Services	
4. Administrative Costs	\$2,547
5. Fixed Assets/Equipment	
TOTAL	\$312,605

Bailiff

<b>Proposed Budget Line Items</b>	<b>Proposed Budget</b>
1. Salaries and Benefits	\$197,115
2. Services and Supplies a. General Expenditures b. Client Related Expenditures	
3. Professional Services	
4. Administrative Costs	\$1,460
5. Fixed Assets/Equipment	
TOTAL	\$198,575

AB 109 Support

<b>Proposed Budget Line Items</b>	<b>Proposed Budget</b>
1. Salaries and Benefits	\$807,389
2. Services and Supplies a. General Expenditures b. Client Related Expenditures	
3. Professional Services	
4. Administrative Costs	\$7,370
5. Fixed Assets/Equipment	
<b>TOTAL</b>	<b>\$814,759</b>

**Probation Department**

High Risk Unit

<b>Proposed Budget Line Items</b>	<b>Proposed Budget</b>
1. Salaries and Benefits	\$1,472,059
2. Services and Supplies a. General Expenditures b. Client Related Expenditures	\$41,717
3. Professional Services	\$47,801
4. Administrative Costs	
5. Fixed Assets/Equipment	
<b>TOTAL</b>	<b>\$1,561,577</b>

Violent Crimes Unit

<b>Proposed Budget Line Items</b>	<b>Proposed Budget</b>
1. Salaries and Benefits	\$1,380,299
2. Services and Supplies	
a. General Expenditures	\$47,426
b. Client Related Expenditures	\$9,500
3. Professional Services	\$114,686
4. Administrative Costs	
5. Fixed Assets/Equipment	
<b>TOTAL</b>	<b>\$1,551,911</b>

Transitional Age Youth Unit

<b>Proposed Budget Line Items</b>	<b>Proposed Budget</b>
1. Salaries and Benefits	\$140,260
2. Services and Supplies	
a. General Expenditures	\$3,124
b. Client Related Expenditures	
3. Professional Services	
4. Administrative Costs	
5. Fixed Assets/Equipment	
<b>TOTAL</b>	<b>\$143,384</b>

Assessment Center

<b>Proposed Budget Line Items</b>	<b>Proposed Budget</b>
1. Salaries and Benefits	\$689,210
2. Services and Supplies	
a. General Expenditures	\$30,798
b. Client Related Expenditures	\$5,500
3. Professional Services	
4. Administrative Costs	
5. Fixed Assets/Equipment	
<b>TOTAL</b>	<b>\$725,008</b>

Day Reporting Center

<b>Proposed Budget Line Items</b>	<b>Proposed Budget</b>
1. Salaries and Benefits	\$504,488
2. Services and Supplies	
a. General Expenditures	\$10,949
b. Client Related Expenditures	\$7,000
3. Professional Services	\$221,245
4. Administrative Costs	
5. Fixed Assets/Equipment	
<b>TOTAL</b>	<b>\$743,682</b>

### Evidence Based Programming

Proposed Budget Line Items	Proposed Budget
1. Salaries and Benefits	\$30,169
2. Services and Supplies	
a. General Expenditures	
b. Client Related Expenditures	\$25,000
3. Professional Services	\$70,263
4. Administrative Costs	
5. Fixed Assets/Equipment	
TOTAL	\$125,432

### Correctional Health Services

Proposed Budget Line Items	Proposed Budget
1. Salaries and Benefits	\$2,158,482
2. Services and Supplies	
a. General Expenditures	\$65,447
b. Client Related Expenditures	\$336,023
3. Professional Services	\$43,050
4. Administrative Costs	\$94,112
5. Fixed Assets/Equipment	
TOTAL	\$2,697,114

### Behavioral Health Services

Proposed Budget Line Items	Proposed Budget
1. Salaries and Benefits	\$1,010,772
2. Services and Supplies	
a. General Expenditures	\$32,555
b. Client Related Expenditures	\$117,539
3. Professional Services	\$60,328
4. Administrative Costs	
5. Fixed Assets/Equipment	
TOTAL	\$1,221,194

### Employment and Economic Development Department

Proposed Budget Line Items	Proposed Budget
1. Salaries and Benefits	\$458,150
2. Services and Supplies	
a. General Expenditures	\$77,635
b. Client Related Expenditures	\$185,961
3. Professional Services	
4. Administrative Costs	\$80,194
5. Fixed Assets/Equipment	
TOTAL	\$801,940

### Transportation

<b>Proposed Budget Line Items</b>	<b>Proposed Budget</b>
1. Salaries and Benefits	
2. Services and Supplies a. General Expenditures b. Client Related Expenditures	
3. Professional Services	\$26,248
4. Administrative Costs	
5. Fixed Assets/Equipment	
<b>TOTAL</b>	<b>\$26,248</b>

### Global Positioning Satellite

<b>Proposed Budget Line Items</b>	<b>Proposed Budget</b>
1. Salaries and Benefits	
2. Services and Supplies a. General Expenditures b. Client Related Expenditures	
3. Professional Services	\$100,000
4. Administrative Costs	
5. Fixed Assets/Equipment	
<b>TOTAL</b>	<b>\$100,000</b>

## Human Services Agency

### Eligibility Screening

<b>Proposed Budget Line Items</b>	<b>Proposed Budget</b>
1. Salaries and Benefits	\$117,221
2. Services and Supplies a. General Expenditures b. Client Related Expenditures	
3. Professional Services	
4. Administrative Costs	\$11,722
5. Fixed Assets/Equipment	
<b>TOTAL</b>	<b>\$128,943</b>

### Transitional Housing

<b>Proposed Budget Line Items</b>	<b>Proposed Budget</b>
1. Salaries and Benefits	\$110,240
2. Services and Supplies a. General Expenditures b. Client Related Expenditures	\$151,380
3. Professional Services	
4. Administrative Costs	\$11,024
5. Fixed Assets/Equipment	
<b>TOTAL</b>	<b>\$272,644</b>



## San Joaquin County Superior Court

### Post Release Supervision Court

<b>Proposed Budget Line Items</b>	<b>Proposed Budget</b>
1. Salaries and Benefits	\$395,326
2. Services and Supplies	
a. General Expenditures	\$7,000
b. Client Related Expenditures	\$14,110
3. Professional Services	\$558,700
4. Administrative Costs	\$43,450
5. Fixed Assets/Equipment	
<b>TOTAL</b>	<b>\$1,018,586</b>

### Mandatory Supervision Court

<b>Proposed Budget Line Items</b>	<b>Proposed Budget</b>
1. Salaries and Benefits	\$46,689
2. Services and Supplies	
a. General Expenditures	\$6,000
b. Client Related Expenditures	
3. Professional Services	
4. Administrative Costs	\$2,151
5. Fixed Assets/Equipment	
<b>TOTAL</b>	<b>\$54,840</b>

Monitoring Court

<b>Proposed Budget Line Items</b>	<b>Proposed Budget</b>
1. Salaries and Benefits	\$539,769
2. Services and Supplies	
a. General Expenditures	\$7,000
b. Client Related Expenditures	\$13,610
3. Professional Services	\$194,500
4. Administrative Costs	\$49,208
5. Fixed Assets/Equipment	
TOTAL	\$804,087

**Community Corrections Partnership Task Force**

<b>Proposed Budget Line Items</b>	<b>Proposed Budget</b>
1. Salaries and Benefits	\$1,210,763
2. Services and Supplies	\$15,000
a. General Expenditures	
b. Client Related Expenditures	
3. Professional Services	
4. Administrative Costs	
5. Fixed Assets/Equipment	
TOTAL	\$1,225,763

## Community Based Organizations

### Warrant Reduction and Advocacy Program (Friends Outside)

<b>Proposed Budget Line Items</b>	<b>Proposed Budget</b>
1. Salaries and Benefits	\$255,166
2. Services and Supplies	
a. General Expenditures	\$25,417
b. Client Related Expenditures	\$7,123
3. Professional Services	\$3,500
4. Administrative Costs	\$28,771
5. Fixed Assets/Equipment	
<b>TOTAL</b>	<b>\$319,977</b>

### Community Partnership for Families

<b>Proposed Budget Line Items</b>	<b>Proposed Budget</b>
1. Salaries and Benefits	\$123,488
2. Services and Supplies	
a. General Expenditures	\$18,332
b. Client Related Expenditures	\$14,000
3. Professional Services	\$32,420
4. Administrative Costs	\$17,638
5. Fixed Assets/Equipment	
<b>TOTAL</b>	<b>\$209,378</b>

El Concilio

<b>Proposed Budget Line Items</b>	<b>Proposed Budget</b>
1. Salaries and Benefits	\$153,447
2. Services and Supplies	
a. General Expenditures	\$28,833
b. Client Related Expenditures	\$12,127
3. Professional Services	\$3,500
4. Administrative Costs	\$19,441
5. Fixed Assets/Equipment	
<b>TOTAL</b>	<b>\$217,348</b>

A Program Enhancement Request in the amount of \$71,022 under Professional Services was approved on September 18, 2019.

Fathers & Families of San Joaquin

<b>Proposed Budget Line Items</b>	<b>Proposed Budget</b>
1. Salaries and Benefits	\$139,856
2. Services and Supplies	
a. General Expenditures	\$27,404
b. Client Related Expenditures	\$17,500
3. Professional Services	\$12,988
4. Administrative Costs	\$18,476
5. Fixed Assets/Equipment	\$5,000
<b>TOTAL</b>	<b>\$221,224</b>

Mary Magdalene Community Services

<b>Proposed Budget Line Items</b>	<b>Proposed Budget</b>
1. Salaries and Benefits	\$136,129
2. Services and Supplies	
a. General Expenditures	\$30,136
b. Client Related Expenditures	\$10,000
3. Professional Services	\$20,476
4. Administrative Costs	\$17,197
5. Fixed Assets/Equipment	\$2,020
<b>TOTAL</b>	<b>\$215,958</b>

**District Attorney**

<b>Proposed Budget Line Items</b>	<b>Proposed Budget</b>
1. Salaries and Benefits	\$329,558
2. Services and Supplies	
a. General Expenditures	
b. Client Related Expenditures	
3. Professional Services	
4. Administrative Costs	\$15,790
5. Fixed Assets/Equipment	
<b>TOTAL</b>	<b>\$345,348</b>

**Public Defender**

<b>Proposed Budget Line Items</b>	<b>Proposed Budget</b>
1. Salaries and Benefits	\$313,586
2. Services and Supplies a. General Expenditures b. Client Related Expenditures	
3. Professional Services	
4. Administrative Costs	
5. Fixed Assets/Equipment	
<b>TOTAL</b>	<b>\$313,586</b>

**San Joaquin Community Data Co-Op**

<b>Proposed Budget Line Items</b>	<b>Proposed Budget</b>
1. Salaries and Benefits	\$164,576
2. Services and Supplies a. General Expenditures b. Client Related Expenditures	\$116
3. Professional Services	
4. Administrative Costs	
5. Fixed Assets/Equipment	
<b>TOTAL</b>	<b>\$164,692</b>

### Community Partnership for Families

Proposed Budget Line Items	Proposed Budget
1. Salaries and Benefits	\$144,204
2. Services and Supplies	
a. General Expenditures	\$44,000
b. Client Related Expenditures	\$30,000
3. Professional Services	\$16,800
4. Administrative Costs	\$23,156
5. Fixed Assets/Equipment	
<b>TOTAL</b>	<b>\$258,160</b>

### El Concilio

Proposed Budget Line Items	Proposed Budget
1. Salaries and Benefits	\$14,832
2. Services and Supplies	
a. General Expenditures	\$2,329
b. Client Related Expenditures	\$1,500
3. Professional Services	
4. Administrative Costs	\$1,866
5. Fixed Assets/Equipment	
<b>TOTAL</b>	<b>\$20,527</b>

## Fathers & Families of San Joaquin

Proposed Budget Line Items	Proposed Budget
1. Salaries and Benefits	\$139,856
2. Services and Supplies	
a. General Expenditures	\$21,800
b. Client Related Expenditures	\$14,500
3. Professional Services	\$3,225
4. Administrative Costs	\$17,616
5. Fixed Assets/Equipment	\$250
TOTAL	\$197,247

## Friends Outside

Proposed Budget Line Items	Proposed Budget
1. Salaries and Benefits	\$126,379
2. Services and Supplies	
a. General Expenditures	\$19,672
b. Client Related Expenditures	\$18,300
3. Professional Services	\$3,500
4. Administrative Costs	\$16,435
5. Fixed Assets/Equipment	\$3,000
TOTAL	\$187,286



### Mary Magdalene Community Services

Proposed Budget Line Items	Proposed Budget
1. Salaries and Benefits	\$139,089
2. Services and Supplies	
a. General Expenditures	\$24,915
b. Client Related Expenditures	\$6,945
3. Professional Services	\$4,050
4. Administrative Costs	\$16,863
5. Fixed Assets/Equipment	
<b>TOTAL</b>	<b>\$191,862</b>

### Public Defender/Uptrust

Proposed Budget Line Items	Proposed Budget
1. Salaries and Benefits	
2. Services and Supplies	
a. General Expenditures	
b. Client Related Expenditures	
3. Professional Services	\$80,000
4. Administrative Costs	
5. Fixed Assets/Equipment	
<b>TOTAL</b>	<b>\$80,000</b>

### Ready to Work

Proposed Budget Line Items	Proposed Budget
1. Salaries and Benefits	\$505,005
2. Services and Supplies	
a. General Expenditures	\$25,136
b. Client Related Expenditures	\$31,000
3. Professional Services	
4. Administrative Costs	\$16,050
5. Fixed Assets/Equipment	
TOTAL	\$577,191

### Probation Department

#### Administrative Overhead

Proposed Budget Line Items	Proposed Budget
1. Salaries and Benefits	\$1,499,402
2. Services and Supplies	
a. General Expenditures	\$254,493
b. Client Related Expenditures	
3. Professional Services	
4. Administrative Costs	
5. Fixed Assets/Equipment	
TOTAL	\$1,753,895

## **SUMMARY**

The San Joaquin County Executive Committee strives to create a balanced plan that focuses on both law enforcement related activities and re-entry services. The plan is intended to improve the success rates of individuals under supervision resulting in reduced recidivism, less victimization, and increased public safety. Accomplishing this in the most cost effective manner and through data driven decision making, while employing proven correctional and justice system evidence based practices, continues to be the Community Corrections Partnership's primary strategic goal.



# San Joaquin County Probation Department

## Day Reporting Center (DRC)

### Recidivism by EBP Dosage Hours

January – December 2017

#### Introduction

This evaluation report centers on analysis specific to the San Joaquin County Probation Department’s Day Reporting Center (DRC). The DRC, which has been in operation for numerous years offers clients on probation a location in which they can check in with their probation officers, remain in compliance (via reporting and drug testing), and immediately take part in evidence-based curriculum. Almost all clients (with the exception of overrides) who have been referred to the DRC (in order to receive services) have been assessed as being high risk to reoffend. An overarching goal of the Probation Department is to reduce recidivism and commitments to local jail and State prison. In order to achieve these goals, the Department, via the services and programs offered at the DRC, is focused on addressing the barriers that hinder the success of their clients.

This report centers on DRC clients and recidivism data. Moreover, this analysis centers on a sample of 153 clients and offers a comprehensive look at recidivism analysis 365 days since enrollment in the DRC. In addition, this data analysis includes recidivism findings analyzed by the amount of evidence-based programming hours received.

The two main data analysis questions for this work are:

- What is the level of recidivism for the sample?
- Are levels of recidivism affected by the dosage hours received?

#### Demographics

The sample for the recidivism check consisted of 153 clients and spans 365 days post enrollment. Most (94.8%) of the clients in this analysis were male and 5.2% were female.

**Table 1. DRC Demographics**

	Count	%
<b>Total Number of Clients</b>	153	
Recidivism Check	365 Days	
<b>Gender</b>		
Female	8	5.2%
Male	145	94.8%
<b>Ethnicity</b>		
American Indian	1	0.7%
Asian	13	8.5%
Black or African American	45	29.4%
Hispanic or Latino/Latina	64	41.8%
White or Caucasian	30	19.6%
<b>Risk Assessment Level</b>		
High Drug	8	5.2%
High Property/Violent	53	34.6%
High Violent	90	58.8%
Moderate w/Override	2	1.3%

In regard to the racial/ethnic make-up of the clients, four in ten (41.8%) clients identified as Hispanic or Latino/Latina, 29.4% identified as Black or African American, 19.6% reported White or Caucasian, thirteen clients (8.5%) were Asian, and 0.7% (1) marked American Indian.

As previously stated, almost all of the clients who participate in DRC programming are assessed as high risk. When looking at the breakdown of risk assessment level, 58.8% of clients were assessed as High Violent, 34.6% as High Property/Violent, and 5.2% as High Drug. There were two clients with a moderate risk level who had an override and were served at the DRC.

#### Evidence-Based Program (EBP) Dosage Hours

The average amount of hours that clients received of evidence-based programming (EBP) during the 365-day sample was 22.4 hours, with a range of 0 to 103 hours. In addition, 94 or 61.4% of the clients received 0 to 19.5 hours of EBP, 11.8% received 20 to 39.5 hours, and 41 or 26.8% of clients received 40 or more hours of EBP during the 365-day study (Table 2).

#### Recidivism Rates

As shown in Figure 1, the recidivism rates are as follows: 53.6% of clients had at least one arrest and 30.7% of clients had at least one conviction in the 365-day study period (Table 2 and Figure 1). The results of the recidivism analysis provide a detailed assessment of the impact of evidence-based programming at the DRC.

Data in Figure 2 (on page three) shows that clients with 0 to 19.5 hours of EBP had an arrest rate of 63.8% and conviction rate of 37.2%. Clients with 20 to 39.5 hours had lower arrest and conviction rates (50.0% and 22.2% respectively). Clients who had forty or more EBP hours were much less likely to be arrested (31.7%) or convicted (19.5%). The findings for arrests were statistically significant ( $p = .003$ ).

**Table 2. Evidence-Based Program Dosage Hours and Recidivism Rates**

	Count	%
<b>EBP Dosage Hours</b>		
Average (In Hours)		22.4
Range (In Hours)		0 to 103
0-19.5 Hours	94	61.4%
20-39.5 Hours	18	11.8%
40 or More Hours	41	26.8%
<b>Recidivism</b>		
Arrest Rate	82	53.6%
Conviction Rate	47	30.7%

**Figure 1. Arrest and Conviction Rates for DRC**





Figure 2. Arrest and Conviction Rates for DRC, by EBP Dosage Hours

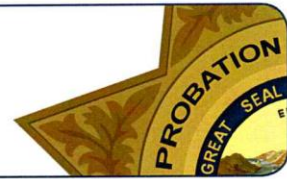


When looking at whether or not levels of arrests are affected by EBP dosage hours, data from a linear regression analysis indicate that there is an indirect association. That is, the higher the dosage hours a client receives, the less likely they will be arrested. However, it is important to point out that the R-squared prediction levels would be classified as weak ( $r^2 = .09$ ).

**Conclusion**

Clients enrolled from January to December of 2017 were checked for recidivism 365-days post enrollment. Findings indicated a decrease in arrests and convictions as EBP hours increase. This analysis supports the claim that the DRC evidence-based programming helps to reduce recidivism rates.





**Stephanie L. James** CHIEF PROBATION OFFICER

**SUBJECT: Client-Related Needs and Gift Cards Policy**

**PURPOSE:** To provide the Community Based Organizations (CBO) partnering with the San Joaquin County Probation Department with an updated policy regarding the purchase, tracking, and reimbursement process for client-related needs and gift card management. These guidelines will serve to provide the minimum requirements necessary to maintain a reasonable level of accountability and transparency between Probation and the CBO.

**GENERAL GUIDELINES:**

**A. Client-Related Needs/Support Services**

1. CBOs are only able to provide support services to clients referred to them by Probation unless otherwise stated in their contract.
2. Typical support services include, but are not limited to: clothing, food, gas, transportation, class fees and personal documents (birth certificate, SS card, DL, etc.).
3. The maximum amount allowed per client, per fiscal year is \$250.
4. Incentives need to be budgeted separately, and are not a part of the \$250 client related needs and support. The maximum annual amount for incentives is \$25 dollars per client.
5. Clients are NOT automatically entitled to the full amount and discretion should always be exercised when determining the greatest need.
6. Services provided should always correlate with the client's criminogenic needs and/or treatment goals. (Incentive purchases are permissible, but should be kept to a minimum and will be approved at the discretion of Probation)
7. When gift cards are used, the type of card should always correspond with the type of service being met. (i.e. Food4Less for groceries, Burlington for clothes, Shell for gas, etc.)
8. **Generic gift cards like Visa or MasterCard are prohibited, even for incentive purposes.**
9. When at all possible, client purchases should be ordered online from a designated vendor. (Online purchasing enables better controls over the type of purchase being made.)

**Juvenile Court and Field Services**  
 575 W. Mathews Road  
 French Camp, CA 95231  
 209.953.7812

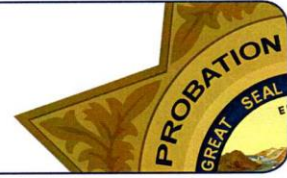
**Juvenile Detention Facilities**  
 535 W. Mathews Road  
 French Camp, CA 95231  
 209.468.4200

**Adult/AB 109 Services**  
 Canlis Building, 24 S. Hunter Street  
 Stockton, CA 95202  
 209.468.4100

**Administration**  
 575 W. Mathews Road  
 French Camp, CA 95231  
 209.468.4068



SAN JOAQUIN COUNTY  
**PROBATION DEPARTMENT**  
Supporting a Safer, Stronger Community



**Stephanie L. James** CHIEF PROBATION OFFICER

10. If a client receives approval from their Probation Officer (PO) to transition from one CBO to another or if services are being shared between multiple CBOs, all parties involved are responsible for sharing the amount of client-related expenditures with the other parties so as to not exceed the \$250 support limit.

**B. Client Support Request Form**

1. A support request form needs to be provided for each client receiving support services in which the CBO is requesting reimbursement from Probation.
2. The form needs to provide at minimum, the following information:
  - a. Agency name
  - b. Date Requested
  - c. Client Name
  - d. Probation #
  - e. Program Name (i.e. AB109, M.E.N.D., E&T, etc.
  - f. Requesting Authority
  - g. Justification/Comments for services being provided (how it is associated with client goals/needs)
  - h. Description of Item(s) Purchased and Amount associated with it
  - i. Type of Source Used for purchase (i.e. gift card, bus pass, gas card, etc.)
  - j. Benefits Previously Used
  - k. Client Name, Signature, and Date
  - l. Approving Authority, Signature, and Date Approved.
  - m. Remaining Benefits

**C. Support Documents and Receipts**

1. A receipt and/or documentation needs to be provided with every request form submitted.
2. The date, amount, and items listed on the receipt need to be clear and visible.
3. In the event that a CBO encounters a circumstance where the requested client-related need is out of the general norm (i.e. hygiene, clothes, food, gas, etc.), a more thorough narrative with the appropriate support documentation needs to be provided to justify the expense. Probation will make the determination if the expense is justified.

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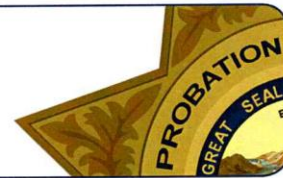
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#### **D. Tracking System and Reports**

1. A system needs to be in place to track the disbursement of client-related needs as they pertain to each program. At minimum, the following information needs to be tracked:
  - a. Date (when gift card/support was provided)
  - b. Program
  - c. Client full name
  - d. Client Probation #
  - e. Source used (gift card, gas card, bus ticket, etc.)
  - f. Card # (if applicable)
  - g. Amount
  - h. Requesting authority
  - i. Approving authority
  - j. Total expenditures used per client
  - k. Description/Note
  
2. A report of client-related expenses needs to be maintained on a monthly basis and documentation can be requested by Probation at any time.

**NOTE:** For your convenience and for the sake of maintaining a certain level of uniformity, a template for the support request form and tracking log has been provided. However, the current guidelines and templates provided in this document serve only as an “at minimum” requirement, meaning that if any organization wishes to maintain their current internal forms and/or processes, it is fully permissible so long as the minimum requirements identified are clearly represented on your forms and logs.

Should you have any questions regarding this policy please feel free to contact Rigo Ochoa, Management Analyst, at [rmocha@sjgov.org](mailto:rmocha@sjgov.org) or (209) 953-7317.

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209.468.4068

[Agency Letterhead]

**Client Support Request Form (Template)**

Date Requested: \_\_\_\_\_

Client Name: \_\_\_\_\_ Probation # \_\_\_\_\_  
                  First                                  Last

Program Name: \_\_\_\_\_

Requesting Authority: \_\_\_\_\_

Justification/Comments: *(Attach additional sheets if more space is needed)*

Benefits Previously Used: \$ \_\_\_\_\_

Description of Item(s) Purchased	Source Used	Amount
<b>Total Purchase Amount</b>		

\_\_\_\_\_                      \_\_\_\_\_                      \_\_\_\_\_  
**Client Name**                      **Signature**                      **Date**

*Administrative Use:*

\_\_\_\_\_                      \_\_\_\_\_                      \_\_\_\_\_  
**Approving Authority**                      **Signature**                      **Date Approved**

*Remaining Benefits:* \_\_\_\_\_

Attachment B (page 4 of 5)



**By Laws**  
**of San Joaquin County**  
**Community Corrections Partnership**

(Adopted November 20, 2019)

(Revised November 15, 2017)

(Revised November 16, 2016)

ARTICLE I

NAME

The name of this committee shall be THE SAN JOAQUIN COUNTY COMMUNITY CORRECTIONS PARTNERSHIP (CCP).

ARTICLE II

AUTHORIZATION

This committee is authorized pursuant to Penal Code Section 1230 and 1230.1.

ARTICLE III

PURPOSE

The purpose of the San Joaquin County Community Corrections Partnership shall be to:

- Advise the Chief Probation Officer regarding the Community Corrections Program pursuant to the Community Corrections Incentive Act (Penal Code Section 1230).
- Recommend a local plan for the implementation of the 2011 Public Safety Realignment Act [Penal Code Section 1230.1(a)].

## ARTICLE IV

### MEMBERSHIP

Per statute, the membership of the Community Corrections Full Partnership is comprised of:

- Chief Probation Officer – Chair
- Presiding Judge of the Superior Court or his/her designee
- A County Supervisor or the Chief Administrative Officer for the County or a designee of the Board of Supervisors
- The District Attorney
- The Sheriff
- The Public Defender
- A Chief of Police (as selected by the County’s Chiefs of Police)
- The head of the county department of social services
- The head of the county department of mental health/alcohol and substance abuse programs (Alcohol, Drug and Mental Health Services)
- The head of the county department of employment
- The head of the county office of education
- A representative from a community based organization (CBO) with experience in successfully providing rehabilitative services to persons who have been convicted of a criminal offense
- An individual who represents the interest of victims

## ARTICLE V

### TERM OF MEMBERSHIP

The term for a representative from a community based organization and Chief of Police shall be for a full fiscal year (July 1<sup>st</sup> through June 30<sup>th</sup>). There is nothing to preclude a representative from serving more than one term. A memo of interest must be submitted to the Chief Probation Officer by the end of the fiscal year, so the selection may be made at the July Executive Committee CCP Meeting.

The remaining members of the CCP hold their position as long as they hold that office. Upon their departure, their successor assumes the position.



## ARTICLE VI

### EXECUTIVE COMMITTEE OF THE CCP

#### Section A: Purpose

The purpose of the Executive Committee of the CCP is to approve the realignment plan developed by the CCP prior to its submission to the Board of Supervisors. The Executive Committee of the CCP is responsible for voting on all matters appearing before the CCP.

#### Section B: Membership

Per statute, the voting members of that CCP that comprise the Executive Committee consist of:

- Chief Probation Officer – Chair
- A Chief of Police
- The Sheriff
- The District Attorney
- The Public Defender
- Presiding Judge of the Superior Court or his/her designee
- The director of social services, mental health, or substance abuse services

The constitutional officers of the Executive Committee (the Sheriff and the District Attorney) are the only members that may choose to designate a representative to fill in when they are unable to attend a specific meeting. The Sheriff and/or District Attorney must send a formal request to the Chair of the Community Corrections Partnership to identify the designee and set forth what duties and authority they are vesting with the designee. This request must be submitted prior to each meeting in which a designee is being identified. If the request is not received prior to the beginning of the meeting, the designee will sit with the general members and will not be afforded any rights associated with the Executive Committee.

#### Section C: Selection Process

In San Joaquin County, the Executive Committee will select between the Director of the Human Services Agency, the Director of Health Care Services, or the Director of

Behavioral Health Services to sit as the seventh voting member. This recommendation will go before the Board of Supervisors for final approval.

Section D: Term

The term of the Chief of Police as well as the seventh voting member shall be for a period of two years beginning July 1<sup>st</sup> through June 30<sup>th</sup>. There is nothing to preclude the member from serving more than one term. A memo of interest must be submitted to the Chief Probation Officer by the end of the fiscal year, so the selection may be made at the July Executive Committee CCP Meeting.

The remaining members of the Executive Committee hold their position as long as they hold that office. Upon their departure, their successor assumes the position.

ARTICLE VII

CHAIRPERSON

Section A: Appointment of Chairperson

In accordance with Section 1230 of the Penal Code, the Chief Probation Officer shall serve as the CCP Chairperson. In the Chief Probation Officer's absence, the Sheriff will preside over the meetings.

Section B: Duties of Chairperson

The Chairperson shall supervise and direct the CCP activities, affairs, and officers. The Chairperson shall schedule, set the agenda for, and preside over the Full Partnership CCP meetings and the Executive Committee CCP meetings. The Chairperson shall decide all questions of order and procedure, subject to appeal by any member of the Executive Committee. The Chairperson may discuss and vote upon any matter as a member of the Executive Committee without relinquishing the chair; however, the Chairperson may not make the motion or second the motion without relinquishing the chair. The Chairperson shall be responsible for signing all documents as authorized by the Executive Committee.

## ARTICLE VIII

### MEETINGS

#### Section A: Brown Act

The Full Partnership CCP and Executive Committee CCP meetings shall be governed by the Brown Act. Notice of the meetings for the Full Partnership CCP and Executive Committee CCP shall be posted as required by the Brown Act and will be posted by the Clerk of the Board of Supervisors as well as by the Probation Department at the location of the meeting. All meetings shall be opened to the public and will take place at 24. S. Hunter, Room 201, Stockton, California 95202 in the 3<sup>rd</sup> Floor Auditorium from 12:00 p.m. – 1:30 p.m.

#### Section B: Public Comment

Public comment will be limited to five minutes. The Executive Committee may consider matters not on the agenda under the public comment section to the extent permitted by the Brown Act. The only action that may be taken on these items is to receive and file documents related to the matter, ask questions of staff or the public, make a brief announcement or report on the activities of a member, refer a matter to staff, or place a matter of business on a future agenda. The Executive Committee may also consider action on items not appearing on the agenda pursuant to the provisions of Government Code Section 54954.2. The Chairperson has the discretion to extend the time based on the complexity of the issue or to lessen the time if there are a large number of speakers.

#### Section C: Full Partnership CCP Meetings

The Full Partnership CCP meetings are informational in nature and are normally scheduled for the second Wednesday of each month. There is no Full Partnership CCP meeting scheduled for December.

#### Section D: Executive Committee CCP Meetings

The Executive Committee CCP meetings are where all business is conducted and are normally scheduled for the fourth Wednesday of each month. There is no Executive Committee CCP meeting scheduled for June and December.



Section E: Joint Full Partnership/Executive Committee CCP Meetings

There is a Joint Full Partnership/Executive Committee CCP meeting scheduled in the months of July and November.

Section F: Subcommittee Meetings

The Executive Committee may designate subcommittees on an as needed basis. These subcommittees may include members of the Full Partnership CCP, as well as non-CCP members. Subcommittees are not subject to the provision of the Brown Act.

Section G: Rescheduling Meetings

The Chairperson may reschedule the date, time, or location of meetings with the concurrence of the members of the Executive Committee.

Section H: Special Meetings

A Special Meeting may be called at any time by the Chairperson, upon written notice, specifying the general nature of the business proposed. Notice must be given as required by the Brown Act.

Section I: Meeting Materials

A link to all meeting materials will be sent to the Full Partnership CCP email distribution list prior to the meeting. Additionally, a sufficient number of hard copies will be made available for the public at the time and place of each meeting.

ARTICLE IX

MEETING PROCEDURES

Section A: Quorum

Four (4) members shall constitute a quorum of the Executive Committee.

Section B: Voting

Decisions of the Executive Committee shall be reached through majority voting, which is defined as the majority of the eligible voting members present. The Executive

Committee shall use Robert's Rules of Order to conduct business. Executive Committee members shall only be allowed to abstain from voting if there is a stated conflict of interest or if they are not present for the discussion. The reason for the abstention shall be explicitly stated for the record. The member with the conflict of interest shall, after announcing his conflict, recuse him or herself from any further public or nonpublic conduct which in any way relates to the decision at hand. (If a public matter, the member with the conflict shall join the public. If a nonpublic vote, the member with a conflict shall leave the room.) Voting will not be allowed by proxy and may only be conducted in-person. If the vote is a tie, that vote shall constitute denial of the request.

Section C: Setting the Agenda

The Chairperson shall designate items on the agenda. Any member wishing to place items on the agenda shall request inclusion on the agenda by contacting the Chairperson no later than 5:00 p.m. the Thursday prior to the next scheduled meeting.

ARTICLE X

AB109 Oversight Committee

Section A: Purpose

The AB109 Oversight Committee is responsible for developing fiscal guidelines for Public Safety Realignment funds. The Committee also reviews all requests for Budget Modifications, Program Enhancement Requests, and One-Time Requests to ensure they are in compliance with established fiscal guidelines and make suggested recommendations to the agency before the request is presented to the Executive Committee for consideration. Suggestions may include additional documentation, clarification, or justification.

Section B: Committee Make-Up

The AB109 Oversight Committee is chaired by the Assistant Chief Probation Officer and is comprised of fiscal staff from AB109 county departments.

Section C: Meetings

The AB109 Oversight Committee meets monthly on the Tuesday the week prior to the Executive Committee meeting to review any Budget Modifications or One-Time

Requests submitted during that month. Special meetings may also be called by the Assistant Chief Probation Officer.

## ARTICLE XI

### Funding Requests

#### Section A: Fiscal Year Proposals

Proposals for continued funding for each of the Service Needed Areas listed in the San Joaquin County Public Safety Realignment Plan will be submitted for consideration by the Executive Committee in March of each year. The Executive Committee will determine the parameters for funding requests each year. Any “status quo” budgets will allow for salary and benefit increases for governmental agencies.

#### Section B: Budget Modifications

Approval from the Executive Committee shall be required for any line item changes from the original line item amounts reflected in the service provider’s approved budget. All proposed Budget Modifications shall be budget neutral. Budget Modification Request forms may be submitted anytime but no later than the April CCP Executive Committee Meeting for consideration for the current program period.

#### Section C: One-Time Requests

A One-Time Request may be considered for funding by the Executive Committee. One-Time Requests can be requested from the Planning Grant, which is intended to assist the organization to plan for and/or develop a project; the Training and Implementation Grant, which is for training and implementation purposes; or from Contingency Funds, which is a reserve of money set aside to cover one time requests unrelated to planning, training or implementation purposes. Examples of One-Time Requests may be for unanticipated purchases for program needs, equipment, non-program specific expenses, training, conferences, etc. One-Time Requests shall increase or improve services provided to AB109 clients. One-Time Requests will not be included in the budget for the following year. All One-Time Request forms may be submitted anytime but no later than the April CCP Executive Committee meeting for consideration for the current program period.

#### Section D: Program Enhancement Requests

A Program Enhancement Request may be considered, only during exigent or emergency needs, for funding by the Executive Committee and will require that sufficient documentation be submitted to explain how the current program will be improved or augmented. If approved, the CCP Executive Committee may request a status update at any time. Additionally, the Program Enhancement Request will be included in the next year's budget proposal. Program Enhancement Request forms must be submitted anytime but no later than the April CCP Executive Committee Meeting for consideration for the current program period.

#### Section E: AB109 Funding Stream

The Executive Committee shall identify the funding stream for all One-Time Requests: Planning Grant, Training and Implementation Funds, or Contingency Funds. The Contingency Fund (i.e. reserves) should maintain a balance of up to 1 ½ times (18 months) the amount of the year's current revenue.

#### Section F: Timing of Requests

All Budget Modifications, Program Enhancement Requests, and One-Time Requests are due to the Chairperson of the CCP by 5:00 p.m. on the Monday the week prior to the Executive Committee meeting. Any recommended changes made by the AB109 Oversight Committee must be submitted by 5:00 p.m. on the Thursday the week prior to the Executive Committee meeting. If the requested changes are not submitted by the required timeline, the item may be pulled from the agenda.

#### Section G: Appearance before the CCP Executive Committee

All Budget Modifications, One-Time Requests, and Program Enhancement Requests require the requesting agency to be present at the Executive Committee Meeting. The requesting agency shall be prepared to present the request, provide supporting documentation, and answer any questions from the Executive Committee. If a representative is not present, the item will be pulled from the agenda.

#### Section H: Fiscal Year End Timeline

The last Budget Modification for the Fiscal Year will be considered at the April Executive Committee meeting. Any funds not expended by the end of the Fiscal Year



will remain in the AB109 Trust Account. Funds cannot be carried over to the next Fiscal Year without prior approval from the Executive Committee.

#### ARTICLE XII

##### CONFLICT OF INTEREST

The Full Partnership and Executive Committee members shall comply with all conflict of interest laws, including but not limited to Government Code Sections 1090 et. seq. and the California Political Reform Act (Government Code Sections 87100 et. seq.)

All members of the Executive Committee shall annually complete the Statement of Economic Interests (California Form 700) as required by the California Fair Political Practices Commission. The Chair of the CCP will notify each member when it is due. Executive Committee members shall abstain from participating in discussions and voting which involve their agency, company or department, or in which they have a personal financial interest.

#### ARTICLE XIII

##### AMENDMENT OF BY-LAWS

These By-Laws may be adopted, amended, or repealed by a majority vote of the Executive Committee after written proposal for such action has been in the hands of the Executive Committee for thirty (30) days.

**Public Safety Realignment  
2019-2020 Budget**

<b>Service Need Area</b>	<b>2019-2020</b>
Pretrial Assessment and Monitoring	\$2,266,739
Sheriff's Office (Jail Beds)	\$4,695,369
Sheriff's Office (Jail Programming)	\$445,651
Sheriff's Office (Alternatives to Incarceration)	\$312,605
Sheriff's Office (Bailiffs)	\$198,575
Sheriff's Office (AB109 Support)	\$814,759
Probation Department (High Risk Unit)	\$1,561,577
Probation Department (Violent Crimes Unit)	\$1,551,911
Probation Department (Transitional Age Youth)	\$143,384
Probation Department (Assessment Center)	\$725,008
Probation Department (Day Reporting Center)	\$743,682
Evidence Based Programming	\$125,432
Correctional Health Services	\$2,697,114
Behavioral Health Services	\$1,221,194
Employment and Economic Development Department	\$801,940
Transportation	\$26,248
Global Positioning Satellite (Probation)	\$100,000
Human Services Agency (Eligibility Screening)	\$128,943
Human Services Agency (Transitional Housing)	\$272,644
Superior Court (Post Release Supervision Court)	\$1,018,586
Superior Court (Mandatory Supervision Court)	\$54,840
Superior Court (Monitoring Court)	\$804,087
Community Corrections Partnership Task Force	\$1,225,763
Friends Outside	\$319,977
Community Partnership for Families	\$209,378
El Concilio	\$217,348
Fathers & Families of San Joaquin	\$221,224
Mary Magdalene Community Services	\$215,958
District Attorney (Parole Revocation Process)	\$345,348
Public Defender (Parole Revocation Process)	\$313,586
San Joaquin Community Data Co-Op	\$164,692
Community Partnership for Families (Cal Fresh E & T)	\$258,160
El Concilio (Alternatives to Violence)	\$20,527

March 6, 2019

**Public Safety Realignment  
2019-2020 Budget**

Fathers & Families of San Joaquin (Probation CM)	\$197,247
Friends Outside (Case Management)	\$187,286
Mary Magdalene Community Services (Probation CM)	\$191,862
Public Defender (Uptrust)	\$80,000
Ready to Work (Homelessness and Recidivism Prevention)	\$577,191
Probation Department (AB109 Administrative Services)	\$1,753,895
<b>Total</b>	<b>\$27,209,730</b>

March 6, 2019