

PO TO ACO TRANSFER ACADEMY

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INTRODUCTION

This manual presents the training requirements that a journey level Probation Officer (PO) must complete within one year of transfer to an Adult Corrections Officer position. This course of training is only available and applicable to officers who have successfully completed a full PO core course. Those transferring officers must complete this transfer academy within the first year of employment to meet the state standard for training set by the Board of State and Community Corrections (BSCC), Standards and Training for Corrections (STC) program.

The content was developed by identifying the overlap and gaps in training between the PO to ACO core courses. Training that was already covered in the PO core course was removed from the transfer academy to eliminate the repeating of content when an officer transfers from a PO to an ACO position. What remains in the PO to ACO transfer academy is training that is unique to the ACO position.

Purpose and Scope of This Manual

The primary purpose of this **PO to ACO Transfer Academy Manual** is to present the core training standards for ACOs transferring from PO positions across local STC agencies. These standards include the required training classes, performance objectives, instructional hours, and testing requirements for training that is required to be completed within one year of hire (core training). The information in this manual is designed for core training providers to provide an overview of the content of the transfer academy. For more in-depth coverage of the core course certification and delivery requirements, training providers are encouraged to thoroughly read the STC Policy and Procedure Manual for *Presenting Local Corrections Core Courses*.

This PO to ACO core training manual is divided into several sections.

- A summary description of the ACO job as it is widely performed across the State and provides information about how core training is linked to the requirements of the job.
- BSCC's role in standard development and authority to set training standards for local corrections agencies.
- An overview of the core training program and how it was developed
- The overarching principles that were used to guide the development of the core training standards.
- General information about the core training program.
- Information about adding optional agency specific training (Topic 18.0) to a core training course.
- Information about testing performance objectives
- An outline of the testing requirements for each class.
- An outline of the content of the core course including the topics, classes, how much instructional time required for each.
- General information and format, prerequisites and the prescribed objectives for the course.
 These objectives are the fundamental building blocks of the curriculum. They provide the goals toward which trainees will strive and the basis for developing methods to evaluate performance effectiveness.

Effective Date of This Manual

The training standards presented in this manual are effective July 1, 2020 and remain in effect until replaced. STC periodically reviews and updates the standards. Minor revisions will be noted in this manual with a revision date on the front cover and footnote. Major revisions will be accomplished by retiring and replacing this manual.

ADULT CORRECTIONS OFFICER JOB DESCRIPTION

The ACO job can differ from one county or city to another and from one assignment to another in the same jurisdiction. This core training course relates to the activities or tasks performed by most adult corrections officers throughout the state, regardless of the location, size, budget, etc. of the employing agency. The ACO job can differ from one county or city to another and from one assignment to another in the same jurisdiction. This core training course relates to the activities or tasks performed by most adult corrections officers throughout the state, regardless of the location, size, budget, etc. of the employing agency. Generally speaking, the ACO ensures that adults in county custody are provided with a safe and humane environment. The ACO is responsible for the custody, supervision, treatment and rehabilitation of those adults. In some counties, the ACO is a peace officer and exercises peace officer duties.

This job description comes from two sources. First, the overall mission of the ACO job and its place in the California Criminal Justice System are established in state law. The second and primary source of information about the job comes from the statewide job analysis research conducted periodically by the STC Program of the BSCC.

BACKGROUND AND AUTHORITY

The BSCC was established on July 1, 2012, and was formerly known as the Corrections Standards Authority (CSA), and prior to 2005, as the Board of Corrections (BOC) which was created in 1944 to provide leadership and coordination for local corrections agencies. Among other duties, the BSCC sets minimum standards for the selection and training requirements for local corrections personnel employed by Sheriff's Offices, Probation Departments, and Police Departments, including the core training standards presented in this manual. These responsibilities are defined in California Penal Code Sections 6024 through 6037 and carried out by the STC Division of the BSCC.

About the Standards and Training for Corrections Program

The STC program was established in 1980 to establish a statewide standard to raise the level of competence of the state's local corrections and probation personnel. The program accomplishes this by developing selection and training standards, providing a statewide course certification and delivery system, conducting compliance reviews, and providing technical assistance, training and funding to local corrections agencies.

The STC program assists local corrections agencies in ensuring they select qualified personnel and train them to a statewide standard to support proficient job performance. STC establishes legally defensible job-related skills training standards and selection criteria that helps protect agencies from failure to training and fair employment challenges. Job relatedness is provided through a thorough job analysis and assessment process that demonstrates the relationship between the standard (course subject matter or employment screening tool) and the job being performed.

Local corrections agencies participate in the STC program voluntarily. Through their participation, agencies receive access to the statewide standards, the certification and course delivery system, and training and technical assistance provided through the STC program and agree to follow all program regulations, policies and procedures. Local corrections agencies also receive funding to help offset the costs of complying with the state standards.

Authority

The STC program is authorized in Penal Code Section 6035 and 6036. Supporting regulations are found in Title 15, Division 1, Subchapter 1, Articles 1 - 9, Sections 100-358 of the California Code of Regulations (CCR).

CORE TRAINING OVERVIEW

California Code of Regulations Sections 169-185 requires everyone hired into an entry-level corrections position to complete a core course of training, prescribed by STC, within one year of hire, assignment, or promotion. This includes:

- Adult Corrections Officer (ACO) responsible for the supervision and custody of adults detained in an adult facility
- Juvenile Corrections Officer (JCO)

 responsible for the supervision and custody of youth detained in a juvenile facility
- Probation Officer (PO)—responsible for the supervision of youths and adults on probation
- Supervisor responsible for supervising staff within a facility or probation function
- Manager/Administer above first line supervisor and responsible for managing a facility or probation function

What Is and Is Not Included in Core Training

Core training is the entry-level or basic training provided within the first year of employment to any employee hired into any of the entry-level corrections positions listed above. Core training is based on the tasks that each employee in the respective entry-level position must perform. Core tasks are identified through job analysis research conducted by STC. These analyses are periodically updated due to the evolving nature of the local corrections professions. Each core course has specific content and a minimum number of instructional hours. The core courses for ACO, JCO and PO also include prescribed classes, performance objectives, training notes and testing requirements to measure learning. Core training does not fulfill all the training requirements for an entry-level employee. Many employees are also required to complete training mandated by the Commission on Peace Officer Standards and Training (POST) such as PC 832 training. Additionally, counties may have local training requirements that are not met through core training. It is the responsibility of each hiring agency to identify and fulfill the training requirements for their employees.

When Is Core Training Required

Although core training can be completed within one year of hire, it is designed as a pre-assignment model and assumes that the students have no prior training or work experience in the classification. The training needs of experienced employees are not covered by the core course. BSCC strongly encourages agencies to deliver core training to students immediately upon hire and prior to performing the duties of the job.

Who Can Delivery Core Training

Most core courses are delivered by corrections agencies to corrections employees. However, a small number of core courses are delivered by community colleges or private training providers and can include students who are not hired to one of the positions listed above but are enhancing their skills to become employed at a local corrections agency. All core courses must be certified by STC. Information about the certification process can be found in the STC publication *Policy and Procedure Manual for Presenting Local Corrections Core Courses*.

Job Relatedness and Defensibility of Core Training

The major tasks and responsibilities of the ACO job in the State of California are defined by a comprehensive and statewide job analysis completed by the BSCC in 2015. The primary data gathering instrument in the job analysis was a structured task questionnaire completed by nearly 5,000 probation officer job incumbents and supervisors. The questionnaire identified the tasks that are performed by probation officers, the frequency that each task is performed, and how important each task is to successful job performance. The ratings from these questionnaires were used to identify the core tasks upon which this curriculum is built and provides the legally defensible link between training and job requirements. The 2015 job analysis report can be found on the STC web page.

There are a great many tasks performed by local corrections professionals around the state. To be included on the list of core tasks, a task must meet the statistical criteria for frequency of performance, importance, and statewide application. After the core tasks were identified, incumbents and supervisors identified the knowledge, skills and abilities (KSA) required to perform each task. The tasks and KSAs are the basis for developing performance objectives that can be directly tied back to job requirements. The establishment of the relationship between the required training and job performance (job relatedness) provides legal defensibility of the core training requirements.

ASSUMPTIONS GUIDING DEVELOPMENT OF THE PO TO ACO TRANSFER ACADEMY

Training design involves a series of decisions, many of which are critical to producing an effective instructional program. For example, the designers must repeatedly make decisions regarding the inclusion or exclusion of specific content in the training course. While such decisions are often complex and difficult, they are much more easily made if some basic assumptions or parameters have been established for the project. The assumptions that guided the development of the PO to ACO transfer academy core course are presented below.

Assumption #1: Entry level training is not necessary to be repeated when experienced officers transfer to a closely related job.

The job analysis determined that there is a significant amount of overlap and similarity between the PO and ACO jobs. A subsequent training gap analysis identified a significant amount of overlap in the entry level training provided to these classifications. When an experienced employee transfers from one classification to another, it is not necessary to repeat entry level training. Therefore, the duplicative training was removed for employees transferring from the a PO to a ACO position.

Assumption #2: The content of the training course must be job related.

This assumption refers all decisions on training content, methods, and format back to the actual demands of the adult corrections officer's job. To the extent that knowledge or skills are not either frequent and/or essential to job performance as identified by job analysis, they are not included in the training course.

Assumption #3: The training course should specify the training needed by entry-level adult corrections officers who have no prior training or experience in that job.

This assumption identifies entry-level ACOs as the target audience for the core training course. The training needs of experienced ACOs are not covered by this course. Because core training can be provided up to one year after hire, some ACOs attending core may already have some work experience, but that experience can vary widely and is not considered in core training. The assumption of no prior training or experience ensures that the core training course covers the needs of all entry-level personnel.

Assumption #4: The training course should specify only the knowledge and skills that are essential for the newly hired adult corrections officer to meet minimum performance standards for entry-level personnel and to obtain maximum benefit from subsequent on-the-job training and experience.

The core training course should not be expected to produce an experienced ACO capable of handling every job assignment. The core training course provides the trainee with the minimum concepts and skills necessary to perform the job and to accelerate later on-the-job learning and training.

Assumption #5: Trainees will complete the entire core training course prior to their first actual work assignment.

Assumption #5 is derived from Assumptions #3 and #4. Since trainees are assumed to have no prior background in the adult corrections officer job, the core training course should be completed before trainees begin performing the complex requirements of the job.

Assumption #6: The core training course should be administratively feasible and efficient.

This assumption helps ensure that the standards are reasonable and can be implemented within operational and administrative constraints (e.g., policies, time, budget, personnel, etc.).

GENERAL INFORMATION ON CORE COURSE CONTENT AND FORMAT

STC sets a core training program that applies statewide. To account for the variation in policies and procedures across the state, core training standards are set at the outline level. This includes determining which topics and classes are required, the performance objectives for each class, the training notes, the instructional hours, and the testing time and method.

The performance objectives are written as measurable statements that describe expected learning outcomes to be achieved and demonstrated by a trainee upon completion of the core training course. Training notes are concepts that must be covered in the class but are not required to be tested. The instructional time is the minimum amount of time for the topic.

Training providers are encouraged to exceed these minimums and may request approval for certification of these increased time allocations. Except for the Behavioral Health topic, STC does not provide lesson plans, tests, or any other instructional materials. It is the responsibility of the training provider to develop lesson plans for each class identified in the core manual that meets the minimum standard established by BSCC.

Instructor Qualifications

This course was designed under the general assumption that instructors have some type of instructor experience and/or subject matter expertise. No special instructor qualifications are required unless specifically noted at the beginning of a topic or class. A general instructor development course is recommended for all core instructors.

Order of classes

Topics and classes do not need to be taught in any specific order, with the following exceptions:

- California Criminal Justice System shall be the first topic taught as part of the core curriculum.
- Booking and Intake class shall be taught before the Releasing class.
- Gang Overview class shall come before the Supervising Offenders topic.

Keeping Content Current

Realizing that laws and policies frequently change, instructors must continuously monitor their lesson plans and update content and references (case law, policies, etc.) to ensure that it is current and accurate. The *Policy and Procedure Manual for Presenting Local Corrections Core Courses* and other BSCC publications provide additional information on lesson plan development, test item writing, and procedures for presenting the curriculum.

AGENCY SPECIFIC TRAINING (OPTIONAL)

Agencies that wish to add instructional content to the course that is not included in the performance objectives will need to designate it as Topic 18.0 (Agency Specific Training) in the core certification.

This place holder topic may be used by the agency or provider for the presentation of subject matter that meets a specific need for the target trainees, such as agency-specific policies and procedures, special issues, and additional content beyond the core course prescribed performance objectives. The provider will need to develop measurable performance objectives for the agency-specific material to secure STC certification of the subject matter. Time devoted to Topic 18.0 material is in addition to the minimum 63 hours prescribed in the PO to ACO transfer core course.

Agency Specific Training material might include but is not limited to instruction in the following areas: court consent decrees, CPR/First Aid, or other internal policy and procedure training. Performance objectives must be written for each agency specific class.

Performance Objectives are like the objectives listed in the body of this manual. The provider or agency is required to provide objectives for any agency specific content that has been added to the course. Use the example below when writing objectives for Topic 18.0 material.

<u>NOTE:</u> THIS CORE MAY NOT FULFILL ALL THE TRAINING REQUIREMENTS FOR AN ENTRY LEVEL ADULT CORRECTIONS OFFICER, SUCH AS STATUTORY AND LOCAL POLICIES (E.G., FIRST AID/CPR OR FIREARMS).

TESTING PERFORMANCE OBJECTIVES

STC sets the standard for the type of test to be administered for each performance objective. STC uses three types of tests:

- 1. MCT (Multiple Choice Test) This can include multiple choice, fill in the blank and true/false items.
- 2. WST (Written Skills Test) This is a writing activity that can include essay questions or completing a form. This shall be graded as a pass/fail.
- 3. BST (Behavioral Skills Test) This form of testing requires <u>each</u> learner to demonstrate the skill and be graded on their performance using an evaluation tool developed by the training provider. This shall be graded as a pass/fail.

Each performance objective is required to be tested. The type of test is indicated in parenthesis at the end of the performance objective.

It is the responsibility of the training provider to develop the tests. For the most part, instructors should write the test items because they are best positioned to link the items most accurately to the lesson plans.

When developing MCT tests, the best practice is to allocate one minute of time for multiple choice type test questions. The testing time requirement in the core course is for MCT testing. It does not include time for WSTs and BSTs. The administration time for these two types of evaluation are built into the instructional time allotted for the classes that require them.

Refer to the BSCC Website Publication *Testing in Core Courses* for help in developing MCT, WST and BST items.

NOTE: Many classes have, in addition to performance objectives, training notes. This content is required to be covered by the instructor but will not be tested.

Minimum Test Items by Class

The table below indicates the minimum number of test items that are required per class. For a course to be certified, the core certification must indicate that all the required testing is provided.

Class #	Class Title	Hours/Minutes	Required MCT Items	Required WST	Required BST
1.1	Title 15		2		
1.2	Legal Foundations and Liability		3		
2.1	Gang Overview and Gang		4		
	Supervision				
3.1	Interpersonal Communication		1		1
3.2	Crisis Communication and De-		1		
	escalation				
3.3	Effective Communication		2		
4.1	Security and Key Control		12		
4.2	Counts		5		
4.3	Facility Searches		5		1
4.4	Contraband and Evidence		3		
5.1	Booking and Intake		2		
5.2	Booking Procedures		4		
5.3	Orientation, Clothing and Supplies		5		
5.4	Releasing Offenders		3		
6.1	Manipulation of Staff		6		
6.2	Disturbances and Disputes		6		1
6.3	Safety/Health Standards and Procedures		4		
6.4	Recreation		7		
6.5	Cleaning of Cells		4		
6.6	Progressive Discipline and Offender Grievances		5		
6.7	Group Dynamics		3		
6.8	Movement		3		
6.9	Offender Workers		3		
7.1	Medical Issues and Universal Precautions		4		
7.2	Medical Emergencies		3		
7.3	Medical Legal Issues		7		
8.1	Classification of Offenders		5	2	
9.1	Cultural Diversity and Ethnic Disparity		1		
10.1	General Reports		1	5	
11.1	Emergency Planning		5		
11.2	Fire and Life Safety		5		1
12.1	Visitation		5		
13.1	Screening and Distribution of Supplies and Mail		7		

Class #	Class Title	Hours/Minutes	Required MCT Items	Required WST	Required BST
14.1	Preparation for Transport and		3	1	
	Transport Procedures				
15.1	Cell Extractions		2		1
17.2	Assessment and Fitness Planning				4
	TOTALS		141	8	9

CORE TRAINING COURSE OUTLINE AND TOPIC SCHEDULE

1.0	California Criminal Justice System	2
1.1	Title 15	1
1.2	Legal Foundations and Liability	1
2.0	Gangs	1.5
2.1	Gang Overview & Supervision	1.5
3.0	Communication	2.5
3.1	Interpersonal Communication	1
3.2	Crisis Communication and De-escalation	0.5
3.3	Effective Communication	1
4.0	Maintaining Security	10
4.1	Security and Key Control	3
4.2	Counts	2
4.3	Facility Searches	3
4.4	Contraband and Evidence	2
5.0	Booking, Receiving and Releasing	3.5
5.1	Booking and Intake	0.5
5.2	Booking Procedures	1
5.3	Orientation, Clothing & Supplies	1
5.4	Releasing Offenders	1
6.0	Supervising Offenders	10
6.1	Manipulation of Staff	3
6.2	Disturbances and Disputes	2
6.3	Safety/Health Standards and Procedure	0.5
6.4	Recreation	1
6.5	Cleaning of Cells	0.5
6.6	Progressive Discipline and Offender Grievances	1
6.7	Group Dynamics	1
6.8	Movement	0.5
6.9	Offender Workers	0.5
7.0	Medical	3.5
7.1	Medical Issues and Universal Precautions	1.5
7.2	Medical Emergencies	1
7.3	Legal Issues	1

8.0	Classification of Offenders	2
8.1	Classification of Offenders	2
9.0	Cultural Awareness	0.5
9.1	Cultural Diversity and Ethnic Disparity	0.5
10.0	Report Writing and Record Keeping	6
10.1	General Reports	6
11.0	Emergency Procedures	7
11.1	Emergency Planning	2
11.2	Fire and Life Safety	5
12.0	Visitation	1.5
12.1	Visitation	1.5
13.0	Screening and Distribution of Supplies and Mail	1.5
13.1	Screening and Distribution of Supplies and Mail	1.5
14.0	Transportation	2
14.1	Preparation for Transport and Transport Procedures	2
15.0	Defensive Tactics and Restraint Techniques	4
15.1	Cell Extractions	4
16.0	Behavioral Health	0.5
16.1	Trauma & Roles and Responsibilities	0.5
17.0	Physical Orientation and Testing	3
17.1	Orientation	1.5
17.2	Assessment and Fitness Planning	1.5
	Instructional Hours	61
	Testing Hours	2
	Total Core Hours	63

TOPIC #1.0: CRIMINAL JUSTICE SYSTEM

Instructional Time: 2 hours

CLASS #1.1: TITLE 15

Instructional Time: 1 hour

OBJECTIVES:

1.1.1 Identify the importance of the Minimum Jail Standards as defined in Title 15. (MCT)

1.1.2 Given a description of a situation related to offender housing or care, and using Title 15 and case law as references, identify instances of mistreatment. (MCT)

CLASS #1.2: LEGAL FOUNDATIONS AND LIABILITY

Instructional Time: 1 hour

OBJECTIVES:

- 1.2.1 Identify the major areas of officer liability and the possible consequences associated with each. (MCT)
- 1.2.2 Given a description of a crime that has been committed inside a detention facility, identify the applicable Penal Code sections related to the crime. (MCT)
- 1.2.3 Identify the legal rights of an offender involved in a crime committed inside a detention facility. (MCT)

TRAINING NOTES:

1.2.A Identify the legal issues inherent in the handling of a crime that has been committed inside a detention facility.

TOPIC #2.0: GANGS

Topic Instructional Time: 1.5 hours

CLASS #2.1: GANG OVERVIEW & GANG SUPERVISION

Instructional Time: 1.5 hours

GANG OVERVIEW

OBJECTIVES:

2.1.1 Identify typical classification issues related to gang membership. (MCT)

TRAINING NOTES:

2.1.A Identify signs or symbols that may appear on envelopes or letters indicating gang affiliation.

GANG SUPERVISION

OBJECTIVES:

- 2.1.2 Identify verbal and non-verbal methods gang members use to communicate in a custody setting. (MCT)
- 2.1.3 Identify techniques for managing informants. (MCT)
- 2.1.4 Identify the advantages and disadvantages of using informants. (MCT)

TRAINING NOTES:

- 2.1.B Identify typical in-custody activity of gangs.
- 2.1.C Identify typical strategies used to monitor, supervise and prevent gang activity.

TOPIC #3.0: COMMUNICATION

Topic Instructional Time: 2.5 hours

CLASS #3.1: INTERPERSONAL COMMUNICATION

Instructional Time: 1 hours

OBJECTIVES:

- 3.1.1 Identify guidelines for answering questions and/or requests from family members, the public and other agencies. (MCT)
- 3.1.2 Given a role play scenario, demonstrate the ability to give instructions to a group of offenders in a controlled environment. (BST)

TRAINING NOTES:

3.1.A Identify potential benefits of consistent communication with fellow officers.

CLASS #3.2: CRISIS COMMUNICATION AND DE-ESCALATION

Instructional Time: 0.5

OBJECTIVES:

3.2.1 Identify effective ways to give commands to a group of offenders who are engaging in negative group dynamics. (MCT)

CLASS #3.3: EFFECTIVE COMMUNICATION

Instructional Time: 1 hour

OBJECTIVES:

- 3.3.1 Identify the barriers to effective communication in a detention setting. (MCT)
- 3.3.2 Identify the topics an officer should or should not discuss with an offender. (MCT)

TRAINING NOTES:

- 3.3.A Identify the importance of effective communication in a detention setting.
- 3.3.B Given a scenario involving an offender request, provide examples of effective communication skills to respond to the request.

TOPIC #4.0: MAINTAINING SECURITY

Topic Instructional Time: 10 hours

CLASS #4.1: SECURITY AND KEY CONTROL

Instructional Time: 3 hours

OBJECTIVES:

- 4.1.1 Identify the purpose of a sally port. (MCT)
- 4.1.2 Identify the rules for handling facility keys. (MCT)
- 4.1.3 Identify issues related to handling keys that may pose a threat to security. (MCT)
- 4.1.4 Identify the guidelines for security rounds, according to Title 15. (MCT)
- 4.1.5 Identify potential issues to look for when conducting perimeter checks. (MCT)
- 4.1.6 Identify steps in conducting an outside perimeter check. (MCT)
- 4.1.7 Identify potential issues to look for when conducting security rounds. (MCT)
- 4.1.8 Identify how to recognize signs of abuse, neglect, misconduct and mental illness during security rounds. (MCT)
- 4.1.9 Identify the principles, conditions and limitations under which safety rooms may be used, according to Title 15. (MCT)
- 4.1.10 Identify mandated requirements for safety room checks, according to Title 15. (MCT)
- 4.1.11 Identify the requirements for monitoring an offender who is placed in a safety room. (MCT)
- 4.1.12 Identify areas covered by safety and security rounds (e.g., physical plant and outer fences, etc.). (MCT)

TRAINING NOTES:

- 4.1.A Identify the proper procedure when a door or gate will not open or close.
- 4.1.B Identify security issues associated with electric doors/electronic controls.
- 4.1.C Discuss re-housing (roll-ups) of offenders.
- 4.1.D List the proper steps to follow when locking and unlocking doors/gates.
- 4.1.E Identify reasons to transfer relevant information to other shifts and/or supervisor(s).

CLASS #4.2: COUNTS

Instructional Time: 2 hours

- 4.2.1 Identify procedures for doing a numerical count. (MCT)
- 4.2.2 Identify procedures for doing a body count. (MCT)
- 4.2.3 Identify principles that are important to the effective counting of offenders (e.g., welfare of offenders, stop movement, never let offenders count, etc.). (MCT)
- 4.2.4 Identify the procedure for responding to the absence of an offender. (MCT)
- 4.2.5 Identify the general guidelines for searching for a missing or escaped offender. (MCT)

CLASS #4.3: FACILITY SEARCHES

Instructional Time: 3 hours

OBJECTIVES:

- 4.3.1 Identify the primary considerations for conducting facility searches. (MCT)
- 4.3.2 Identify the procedure to follow when searching a facility. (MCT)
- 4.3.3 Identify areas to search in a detention facility. (MCT)
- 4.3.4 Identify items to look for when searching the facility. (MCT)
- 4.3.5 Identify how to manage offenders during facility searches. (MCT)
- 4.3.6 In a simulated exercise, demonstrate the proper procedure for searching an area of a detention facility. (BST)

TRAINING NOTES:

- 4.3.A List potential hiding places to be checked carefully during a search of the facility.
- 4.3.B Identify the procedure for limiting and controlling movement of offender workers during facility searches.
- 4.3.C Identify the purpose of tools or materials commonly used during facility searches.

CLASS #4.4: CONTRABAND AND EVIDENCE

Instructional Time: 2 hours

OBJECTIVES:

- 4.4.1 Identify a security issue with offenders using an unauthorized telephone. (MCT)
- 4.4.2 Given a scenario, identify items that should be treated as contraband and rise to the level of disciplinary sanction or court proceedings. (MCT)
- 4.4.3 Given a description of an incident in which an offender is found to be in possession of a given type of contraband, state whether an arrest is indicated. (MCT)

TRAINING NOTES:

- 4.4.A Define contraband.
- 4.4.B Identify the types of contraband most commonly found in mail.
- 4.4.C Given a list of sample contraband, identify how each item should be confiscated.

TOPIC #5.0: BOOKING, RECEIVING AND RELEASING

Topic Instructional Time: 3.5 hours

CLASS #5.1: BOOKING AND INTAKE

Instructional Time: 0.5 hours

OBJECTIVES:

5.1.1 Identify requirements regarding the medical screening of incoming offenders, according to Title 15. (MCT)

5.1.2 Identify the notification requirements pertaining to developmentally disabled offenders. (MCT)

CLASS #5.2: BOOKING PROCEDURES

Instructional Time: 1 hour

OBJECTIVES:

5.2.1 List the steps of the booking procedure. (MCT)

- 5.2.2 Identify the number of calls incoming offenders are allowed to make, according to PC 851.5 and applicable WIC codes. (MCT)
- 5.2.3 Given a description of an offender's behavior in the receiving/holding room, identify the potential issues that may require additional referrals or action. (MCT)
- 5.2.4 Identify the reasons why warrant checks should be completed prior to booking or releasing offenders. (MCT)

TRAINING NOTES:

5.2.A Identify the reasons why it is important to obtain clear fingerprints.

CLASS #5.3: ORIENTATION, CLOTHING AND SUPPLIES

Instructional Time: 1 hour

- 5.3.1 Identify the procedure for orienting incoming offenders, according to Title 15. (MCT)
- 5.3.2 Identify the correct procedure for orienting an incoming offender when communication barriers exist (e.g., non-English speaking, hearing or speech impaired, etc.). (MCT)
- 5.3.3 Identify the major steps in processing offenders prior to housing. (MCT)
- 5.3.4 Identify the minimum type of clothing and bedding required, according to Title 15. (MCT)
- 5.3.5 Identify the consequences of issuing prohibited supplies to offenders. (MCT)

TRAINING NOTES:

- 5.3.A Identify important things to be aware of when communicating with offenders during the orientation process.
- 5.3.B Identify the benefits of providing incoming offenders with a thorough orientation.
- 5.3.C Identify why it is important to issue offenders clothing that is in good condition.

CLASS #5.4: RELEASING OFFENDERS

Instructional Time: 1 hour

OBJECTIVES:

- 5.4.1 Identify the different types of releases. (MCT)
- 5.4.2 Identify the steps involved in releasing an offender. (MCT)
- 5.4.3 Identify the possible consequences of releasing the wrong offender. (MCT)

TRAINING NOTES:

5.4.B Identify the procedures for an in-custody release (e.g., to a transportation officer or another jurisdiction, etc.).

TOPIC #6.0: SUPERVISING OFFENDERS

Topic Instructional Time: 10 hours

CLASS #6.1: MANIPULATION OF STAFF

Instructional Time: 3 hours

OBJECTIVES:

- 6.1.1 Identify indicators that a staff member is being victimized. (MCT)
- 6.1.2 Identify the actions an officer should take when they feel they have been manipulated. (MCT)
- 6.1.3 Identify how an officer can avoid being a victim of manipulation. (MCT)
- 6.1.4 Identify signs of being a victim of manipulation. (MCT)
- 6.1.5 Identify behaviors that may make a staff member prone to being manipulated. (MCT)
- 6.1.6 Identify techniques and strategies of an offender manipulator. (MCT)

TRAINING NOTES:

6.1.A Given a scenario in which an offender is attempting to manipulate an officer, demonstrate the appropriate response.

CLASS #6.2: DISTURBANCES AND DISPUTES

Instructional Time: 2 hours

- 6.2.1 Identify signs of potential disturbances. (MCT)
- 6.2.2 Identify group behaviors that indicate imminent conflict. (MCT)
- 6.2.3 Identify potential consequences of failing to promptly respond to and investigate disturbances. (MCT)
- 6.2.4 Identify principles for maintaining safety and security while responding to disturbances. (MCT)
- 6.2.5 Given several descriptions of offender disputes, classify each according to whether back-up should be called. (MCT)
- 6.2.6 Identify precautions that may prevent a dispute from leading to physical injury or property damage. (MCT)
- 6.2.7 Given a role play scenario, demonstrate the ability to give instructions to a group of offenders in an agitated state. (BST)

TRAINING NOTES:

- 6.2.A Identify the factors in a facility setting that produce tension for offenders and staff.
- 6.2.B Given a situation, identify specific criteria that warrant further investigation.
- 6.2.C Identify key reasons for stopping all horseplay.
- 6.2.D Given a scenario depicting a problem situation occurring in the dining hall, generate a plan for enforcing discipline and re-establishing order.

CLASS #6.3: SAFETY/HEALTH STANDARDS AND PROCEDURES

Instructional Time: 0.5 hour

OBJECTIVES:

- 6.3.1 Identify issues that may arise when supervising bathroom facilities and showers. (MCT)
- 6.3.2 Identify the reasons for maintaining count and control over hygiene supplies. (MCT)
- 6.3.3 Identify the value of good hygiene habits. (MCT)
- 6.3.4 Identify the reasons proper safety and health practices should be promoted within a facility. (MCT)

CLASS #6.4: RECREATION

Instructional Time: 1 hour

OBJECTIVES:

- 6.4.1 Identify Title 15 directives and case law relevant to offender exercise, recreation, fresh air, and natural light exposure. (MCT)
- 6.4.2 Identify how to properly supervise recreational and social activities. (MCT)
- 6.4.3 Identify reasons for properly supervising recreational and social activities. (MCT)
- 6.4.4 Identify classification issues to be aware of during exercise and recreation. (MCT)
- 6.4.5 Given a facility description, classification, and environmental factors, identify potential safety and security hazards that can occur during recreation time. (MCT)
- 6.4.6 Identify reasons why it is important to properly supervise offender phone calls. (MCT)
- 6.4.7 Identify the mandates and other state laws that apply to offender phone privileges. (MCT)

CLASS #6.5: CLEANING OF CELLS

Instructional Time: 0.5 hour

- 6.5.1 Identify requirements relating to cleanliness of cells or dorms, according to Title 15. (MCT)
- 6.5.2 Identify the responsibilities of officers in supervising the cleaning of cells or dorms. (MCT)
- 6.5.3 Identify what officers should look for during the inspection of cells or dorms. (MCT)
- 6.5.4 Identify potential dangers to staff and offenders from cleaning supplies. (MCT)

CLASS #6.6: PROGRESSIVE DISCIPLINE AND OFFENDER GRIEVANCES

Instructional Time: 1 hour

OBJECTIVES:

6.6.1 Define the key principles that underlie the use of progressive discipline. (MCT)

6.6.2 Identify the offender disciplinary process under Title 15. (MCT)

6.6.3 Identify the legal requirements associated with offender grievances. (MCT)

6.6.4 Identify the steps in the appeals process for offender grievances. (MCT)

6.6.5 Identify the staff roles in the appeals process for offender grievances. (MCT)

CLASS #6.7: GROUP DYNAMICS

Instructional Time: 1 hour

OBJECTIVES:

6.7.1 Identify reasons for effective group management when working in a detention facility. (MCT)

6.7.2 Given a group activity, identify typical group dynamic issues. (MCT)

6.7.3 Given a description of an offender group, identify those likely to be group leaders, followers and victims within the group. (MCT)

TRAINING NOTES:

- 6.7.A Identify typical group dynamics that occur within a detention facility.
- 6.7.B Identify offender behaviors that may signal trouble during meal time.
- 6.7.C Identify the duties of the officer in supervising meal time.

CLASS #6.8: MOVEMENT

Instructional Time: 0.5 hour

- 6.8.1 Identify safety and security concerns when escorting offenders to and from locations within the facility. (MCT)
- 6.8.2 Identify how the classification system and housing layout is essential to escorting offenders within a facility. (MCT)
- 6.8.3 Identify potential consequences of improper supervision during in-house movement of offenders. (MCT)

CLASS #6.9: OFFENDER WORKERS

Instructional Time: 0.5 hour

OBJECTIVES:

- 6.9.1 Identify ability requirements and restrictions related to specific duty assignments (e.g., physical, mental, learning, etc.). (MCT)
- 6.9.2 Identify the primary considerations for an officer when supervising a work detail. (MCT)
- 6.9.3 Identify the criteria for selection of offender workers. (MCT)

TRAINING NOTES:

- 6.9.A Identify how to properly supervise work details.
- 6.9.B Identify the reasons why relatives should not be allowed to work together.
- 6.9.C Identify the reasons why offenders should be assigned to work details rather than selecting their own.
- 6.9.D Given a list of tasks and responsibilities, classify each as either appropriate or inappropriate for assignment to offender workers.

TOPIC #7.0: MEDICAL

Topic Instructional Time: 3.5 hours

CLASS #7.1: MEDICAL ISSUES AND UNIVERSAL PRECAUTIONS

Instructional Time: 1.5 hours

OBJECTIVES:

- 7.1.1 Identify universal safety precautions and their application to the institutional environment. (MCT)
- 7.1.2 Identify one example of the challenges when providing care to elderly offenders. (MCT)
- 7.1.3 Identify if and when an offender has a legal right to refuse medications. (MCT)
- 7.1.4 Identify the steps to follow when an offender requests to see a doctor. (MCT)

TRAINING NOTES:

- 7.1.A Identify care requirements of the elder population.
- 7.1.B Identify the reasons that doctors and nurses are to be given the following information: the classification of all offenders they visit; the importance of security; and that medical staff are to be accompanied at all times.
- 7.1.C Identify the factors that should be considered when using a multidisciplinary approach to providing medical health care services.
- 7.1.D Identify the role of the officer when assisting medical staff.
- 7.1.E Identify possible communication problems that can occur between medical staff and officers.

CLASS #7.2: MEDICAL EMERGENCIES

Instructional Time: 1 hour

- 7.2.1 Given a description of an offender's behavior and/or appearance, identify whether medical attention is needed. (MCT)
- 7.2.2 List the steps an officer should follow in handling a medical emergency. (MCT)
- 7.2.3 Identify the appropriate response to a possible adverse reaction to medication. (MCT)

CLASS #7.3: MEDICAL LEGAL ISSUES

Instructional Time: 1 hour

- 7.3.1 Identify circumstances where medical treatment cannot be refused. (MCT)
- 7.3.2 Identify the procedure to follow for an offender who refuses medical treatment. (MCT)
- 7.3.3 Identify the potential liability for staff noncompliance with the medical treatment of offenders. (MCT)
- 7.3.4 Identify consequences of improper handling or control of medication. (MCT)
- 7.3.7 Define the term "direct visual observation," according to Title 15. (MCT)

TOPIC #8.0: CLASSIFICATION OF OFFENDERS

Topic Instructional Time: 2 hours

CLASS #8.1: CLASSIFICATION OF OFFENDERS

Instructional Time: 2 hours

OBJECTIVES:

- 8.1.1 Identify the purpose of classification. (MCT)
- 8.1.2 Identify sources of information for classification decisions. (MCT)
- 8.1.3 Identify the consequences of incorrectly classifying an offender. (MCT)
- 8.1.4 Given a list of classifications, identify the specific risks and required precautions associated with each classification. (MCT)
- 8.1.5 Identify factors that influence ongoing reclassification. (MCT)
- 8.1.6 Given a sample case description, indicate factors that would affect classification/housing. (WST)
- 8.1.7 Given a sample intake profile and classification plan, classify the offender and assign appropriate housing. (WST)

TRAINING NOTES:

8.1.A Identify behaviors exhibited during the classification process that would suggest the need for further investigation prior to classification.

TOPIC #9.0: CULTURAL AWARENESS

Topic Instructional Time: 0.5 hours

CLASS #9.1: CULTURAL DIVERSITY AND ETHNIC DISPARITY

Instructional Time: 0.5 hours

OBJECTIVES:

9.1.1 Identify reasons for maintaining an awareness and sensitivity to the variety of cultures within a facility (e.g., group control, danger to self or staff, public trust, etc.). (MCT)

TRAINING NOTES:

9.1.A Given the ethnic, religious and cultural diversity found in facilities, discuss problems or opportunities that might arise while interacting with an offender and/or family.

TOPIC #10.0: REPORT WRITING AND RECORD KEEPING

Topic Instructional Time: 6 hours

CLASS #10.1: GENERAL REPORTS

Instructional Time: 6 hours

OBJECTIVES:

- 10.1.1 Presented with a scenario involving unusual offender behavior, generate a written report of the offender's behavior and appearance. (WST)
 10.1.2 Given a scenario, write a disciplinary report. (WST)
 10.1.3 Given a scenario, write a first draft of a report describing the scenario. (WST)
 10.1.4 Given a scenario involving a facility incident, write a description of the incident. (WST)
- 10.1.5 Given a scenario, write a use of force report. (WST)
- 10.1.6 Identify the major types of record keeping required in a detention setting. (MCT)

- 10.1.A Identify the major types of reports used in local corrections.
- 10.1.B Identify the structure and elements of each major type of report.
- 10.1.C Identify the major requirements for writing mechanics involved in reports.
- 10.1.D Identify the potential uses of a report.

TOPIC #11.0: EMERGENCY PROCEDURES

Topic Instructional Time: 7 hours

CLASS #11.1: EMERGENCY PLANNING

Instructional Time: 2 hours

OBJECTIVES:

- 11.1.1 Identify the types of emergency situations that may occur in a detention/correction facility. (MCT)
- 11.1.2 Identify priorities and responsibilities of officers during an emergency. (MCT)
- 11.1.3 Given a description of an emergency, identify the steps needed to respond. (MCT)
- 11.1.4 Identify the procedure for evacuating offenders (e.g., fire, hazardous materials spill, earthquake, etc.). (MCT)
- 11.1.5 Identify situations when response from additional law enforcement might be necessary. (MCT)

TRAINING NOTES:

11.1.A Identify guidelines for communicating with staff and offenders during emergencies.

CLASS #11.2: FIRE AND LIFE SAFETY*

Instructional Time: 5 hours

OBJECTIVES:

- 11.2.1 Identify possible dangers posed by the spread of smoke and gases in a facility. (MCT)
- 11.2.2 Identify the procedure for responding to a fire in a facility. (MCT)
- 11.2.3 Identify the key elements that should be considered in maintaining fire safety in a facility. (MCT)
- 11.2.4 Match different types of fires with the appropriate fire extinguishing equipment needed to put out each type. (MCT)
- 11.2.5 Identify the procedure for isolating and confining fire, smoke and gases to the area of origin. (MCT)
- 11.2.6 Demonstrate the correct use of fire extinguishing equipment. (BST)

- 11.2.A Discuss that not all sprinkler heads operate at the same time in an automatic sprinkler system.
- 11.2.B Identify how to manually override automatic sprinkler system controls.

^{*}Performance objectives pertaining to the use and fit of Self Contained Breathing Apparatus (SCBA) have been removed due to variations in institution and agency policy. If your agency utilizes SCBA it is recommended that content be added under Agency Specific training (Topic 22)

TOPIC #12.0: VISITATION

Topic Instructional Time: 1.5 hours

CLASS #12.1: VISITATION

Instructional Time: 1.5 hours

OBJECTIVES:

- 12.1.1 Identify problems that can occur during professional visits. (MCT)
- 12.1.2 Define the term "privileged communication". (MCT)
- 12.1.3 Given a list of potential visitors, identify those who would have the right to privileged communication. (MCT)
- 12.1.4 Identify potential consequences of violating statutes concerning privileged communication. (MCT)
- 12.1.5 Identify issues related to visits and contacts that should be reported to medical and/or mental health staff. (MCT)

- 12.1.A Identify appropriate steps for admitting visitors into a facility.
- 12.1.B Given offender classification and other relevant information, identify the procedure for monitoring a non-contact visit.
- 12.1.C Identify problems most commonly associated with visits from family or friends.
- 12.1.D Given appropriate code sections, minimum jail standards and case law for reference, identify the rights and visitation privileges of attorneys and other visitors.
- 12.1.E Discuss the importance of visits for family unification and rehabilitation.

TOPIC #13.0: SCREENING AND DISTRIBUTION OF SUPPLIES AND MAIL

Topic Instructional Time: 1.5 hours

CLASS #13.1: SCREENING AND DISTRIBUTION OF SUPPLIES AND MAIL

Instructional Time: 1.5 hours

OBJECTIVES:

- 13.1.1 Identify Title 15 mandates and postal regulations relevant to the opening and reading of legal and non-legal mail. (MCT)
- 13.1.2 Define legal mail according to Title 15. (MCT)
- 13.1.3 Identify the appropriate procedure for handling legal mail. (MCT)
- 13.1.4 Given sample portions of letters containing various types of information, identify the information that can pose a threat to safety and security of the facility. (MCT)
- 13.1.5 Identify potential consequences of inadequate supervision of clothing or bedding exchange and distribution of supplies. (MCT)
- 13.1.6 Given a list of offender classifications and standard supplies, identify potential incompatibilities. (MCT)
- 13.1.7 Identify the types of contraband most commonly found in mail. (MCT)

- 13.1.A Identify possible consequences of inappropriately processing legal mail.
- 13.1.B Identify possible consequences of improper mail distribution.
- 13.1.C Identify the persons to whom an offender may or may not correspond.
- 13.1.D Identify signs indicating mail marked as legal, is in fact not legal mail.
- 13.1.E Identify things to observe during clothing or bedding exchange and distribution of supplies.
- 13.1.F Identify the key reasons for maintaining a properly operated commissary.
- 13.1.G Identify the procedure for distributing commissary goods to an offender who is not present at regular distribution time.

TOPIC #14.0: TRANSPORTATION

Topic Instructional Time: 2 hours

CLASS #14.1: PREPARATION FOR TRANSPORT AND TRANSPORT PROCEDURES

Instructional Time: 2 hours

PREPARATION OF TRANSPORT

OBJECTIVES:

- 14.1.1 Identify the procedure for verifying the identity of an offender prior to transport. (MCT)
- 14.1.2 Given a transport scenario where use of a vehicle is required, generate a plan for conducting the transport. (WST)
- 14.1.3 Identify the consequences of transporting the wrong offender. (MCT)

TRAINING NOTES:

- 14.1.A Identify common vehicle transportation duties necessary for an officer to perform when outside the facility.
- 14.1.B Identify the importance of planning prior to conducting a transport and/or escort.

TRANSPORT PROCEDURES

OBJECTIVES:

14.1.4 Identify the escort duties/procedures for the facility movement of non-ambulatory offenders. (MCT)

TOPIC #15.0: DEFENSIVE TACTICS AND RESTRAINT TECHNIQUES

Topic Instructional Time: 4 hours

Notes about defensive tactics:

In accordance with the Governor's directive of June 2020 that the carotid control hold and choke hold shall not be included in any state training program or training materials, no course will be STC certified that contains training on carotid control hold or choke hold. Do not include carotid control hold training as part of your STC certified training program.

*Class hours identified in the Defensive Tactics and Restraint Techniques topic <u>only</u> were based on a student to instructor ratio of 8 to 1. However, providers and agencies have the flexibility to adjust the time (either more or less) based on student needs, class size, instructor availability, and instructor-student ratio. Providers will need to justify any adjustments thus ensuring the safety and defensibility of the class. Contact your STC field representative for questions, issues or concerns related to this topic.

CLASS #15.1: CELL EXTRACTIONS

Instructional Time: 4 hours

OBJECTIVES:

- 15.1.1 Identify key considerations that must be addressed in planning cell extractions. (MCT)
- 15.1.2 Given a scenario, demonstrate the roles of each member of the cell extraction team. (BST)
- 15.1.3 Identify the circumstances under which a cell extraction is necessary. (MCT)

TRAINING NOTES:

15.1.A Identify the principles governing the use of security restraints on offenders (e.g., marshal box, pat lock "black box", wrap restraints, flex, etc.).

TOPIC #16.0: BEHAVIORAL HEALTH

Topic Instructional Time: 0.5 hours

CLASS #16.1: TRAUMA & ROLES AND RESPONSIBILITIES

Instructional Time: 0.5 hours

TRAUMA

TRAINING NOTES:

- 16.1.A Identify the signs/symptoms of distress associated with secondary trauma.
- 16.1.B Give the victim/survivor the correct information about the help they need if they identify themselves as needing it/or if the staff suspects they have been trafficked.

ROLES AND RESPONSIBILITIES

TRAINING NOTES:

16.1.C Identify current legislation and case law pertaining to MHBI offenders.

TOPIC #17.0: PHYSICAL ORIENTATION AND TESTING

Topic Instructional Time: 3 hours

CLASS #17.1: ORIENTATION – PHYSICAL CONDITIONING BASICS

Instructional Time: 1.5 hours

- 17.1.1 Explain key components of a performance-based fitness program and the ways each contributes to fitness:
 - cardiovascular conditioning
 - strength
 - endurance
 - flexibility
 - agility
 - speed
- 17.1.2 Define common terms used in physical conditioning, such as:
 - core strength
 - cardiovascular exercises
 - resistance training
 - flexibility exercises
 - plyometrics
 - recovery time
 - duration
 - frequency
 - sets
 - reps
- 17.1.3 Explain the importance of "good form" as it relates to maximizing fitness and preventing injury. (Example: when muscles fatigue, form suffers and conditioning decreases; potential for injury increases.)
- 17.1.4 Explain the role of Rating of Perceived Exertion (RPE) in a physical conditioning program.

- 17.1.5 Examine the Borg Perceived Exertion Scale as described in the Guidelines for Exercise Testing and Prescription, American College of Sports Medicine, Seventh Edition. (Discussion)
 - **-** 6
 - 7 = Very, very light
 - **8**
 - 9 = Very light
 - **1**0
 - 11 = Fairly light
 - **1**2
 - 13 = Somewhat hard
 - **1**4
 - 15 = Hard
 - **1**6
 - 17 = Very hard
 - **1**8
 - 19 = Very, very hard
 - **2**0
- 17.1.6 Identify common mistakes people make when participating in a physical conditioning program, such as the following:
 - weekend warrior
 - lack of pacing
 - lack of recovery time
 - roadblocks to regular exercise
 - improper lifting mechanics
 - poor body positioning
 - insufficient instruction
 - no training plan
 - training too hard
 - training when injured
 - poor nutrition following exercise
 - poor re-hydration practices
 - over hydration
- 17.1.7 Discuss equipment and clothing needed when participating in a fitness program.
- 17.1.8 Explain the purpose and goals of performance-based conditioning for adult corrections officers.

- 17.1.9 Identify common injuries during training that might disable an adult corrections officer, such as the following:
 - hamstring pulls
 - knee injuries
 - back injuries
 - ankle sprains
- 17.1.10 Identify signs of overexertion and/or potential dangers during a physical conditioning program, including the following:
 - heat exhaustion
 - heat stroke
 - irregular heart beat
 - dehydration signs
 - shortness of breath
 - light headedness
 - nausea
 - chest pain
 - blurred vision
 - limb pain
- 17.1.11 Identify the importance of warm-up and cool-down during a physical conditioning program.
- 17.1.12 Explain the difference between static stretching and dynamic stretching and the appropriate applications for each type of stretching.

CLASS #17.2: ASSESSMENT AND FITNESS PLANNING

Instructional Time: 1.5 hour

OBJECTIVES:

These BSTs are to be presented in order and evaluated on a pass/fail basis.

- 17.2.1 On a straight track, complete a 50-yard sprint within 20 seconds. (BST)
- 17.2.2 Drag a 165-pound bag or dummy for 20 feet within 30 seconds. (BST)
- 17.2.3 Demonstrate ability to walk a total distance of 75 feet (three 25-foot laps) within 30 seconds wearing a 30-pound air pack or backpack. (BST)
- 17.2.4 On a flat course starting 15 yards back from a flight of stairs or bleacher steps, walk or jog the 15 yards, climb up 10 steps, then turn, climb back down the steps, and walk briskly or jog back to the starting point within 30 seconds. (BST)

- 17.2.A In pairs or small groups of trainees, exchange ideas and goals for on-going, performance-based fitness plans at the end of training, such as the following:
 - How many days per week can you schedule exercise?
 - How much time per session can you exercise?
 - Name 3 Lower Body strength or Plyometric exercises you plan to do.
 - Name 3 Upper Body strength or Plyometric exercises you plan to do.
 - Name a form of cardiovascular exercise you plan to do.
 - What would keep you from adhering to this program?
 - Name 3 ways to eliminate roadblocks to adhering to this program.
- 17.2.B During class, trainees are to write up goals and plans using a structured work sheet or other suitable format. (Discussion and Report Out to Class)

ATTACHMENTS

ATTACHMENT 1: LESSON PLAN POLICY (REVISED JULY 1, 2019)

STC-Request for Certification (RFC) courses (annual and core) must have a written lesson plan. A copy of the lesson plans must be made available to the STC Field Representative **upon request**.

Best practices indicate detailed lesson plans enhance the development and delivery of training. Lesson plans help ensure quality training delivery by providing detailed information about the delivery of instructional objectives, instructional methodology, testing, and classroom activities. Furthermore, lesson plans provide written documentation of training.

Components of a Lesson Plan

STC does not require a standardized format; however, the lesson plan must be in such detail that a substitute instructor with requisite knowledge of the subject could teach from the lesson plan without contacting the instructor for clarification.

Along with the approved RFC, all STC-RFC lesson plans must contain the following components:

Room Set-Up:

How the classroom needs to be set up (e.g., classroom style, small group, theater, etc.). <u>Note</u>: If part of a longer course, this does not need to be repeated for each class if room set-up will not change.

Instructor Material(s)/Equipment Needed:

All material and equipment needed, including handouts and reference material for the class or module.

Performance Objectives and Training Notes (if a core class):

These should be listed (include performance objective/training note number indicated in core manual if a core class and also reference in lesson plan where material is covered).

Time for Each Section or Exercise/Activity:

The beginning and end time or amount of time needed for each exercise/activity, section, or topic.

Trainer's Script:

Any notes to remind the trainer of anything pertinent in that section. This may include key concepts, targeted responses, activity instructions, etc. It is not necessary to capture everything the instructor will say, for example, stories drawn from the instructor's own experience to illustrate or underscore a key concept would not necessarily be included. This should be written to a level that a person that is familiar with the content and course could step in and complete the training.

Handout/Classroom Materials:

The distribution of handouts and classroom materials should be noted in the section of the lesson plans where the distribution occurs.

Testing Type and Description of BST/WST completion (if applicable):

The type of test(s) to be given in the class or topic; i.e., Multiple Choice Test (MCT), Behavior Skills Test (BST), and Written Skills Test (WST). Describe in writing each BST and WST to be completed in the class or module. This can be attached to the lesson plan as a handout and labeled appropriately as to when to administer it.

ATTACHMENT 2: TEST ADMINISTRATION POLICY (EFFECTIVE JULY 1, 2013)

Core providers must have written policies and procedures for exam administration. Successful completion of core, including the exams, is part of the selection process. Because the exams are used to measure successful completion of core, the purpose of exam policies and procedures is to ensure fair and equitable testing. No examinee should have an advantage or disadvantage of any kind. Following standardized policies and procedures helps ensure that each examinee is provided with a guarantee of fair testing and an equal opportunity to maximize exam performance. This policy must be available for review by STC staff upon request.

The components identified below must be addressed in core providers' policies and procedures for testing.

I. Exam Administration Staff

- a. Qualifications (e.g., position, classification)
- b. Training requirements (initial and periodic refresher training)
- c. Responsibilities

II. Exam Administration Procedures

- a. Exam room environmental characteristics (e.g., good lighting, comfortable temperature, adequate ventilation, freedom from noise and interruption)
- b. Exam room preparation (e.g., working clock, remove materials on walls that may provide information to examinees, arrange seating with a minimum space distance, post any required information)
- c. Staff requirements for number of examinees (e.g., exam supervisor and a proctor for 1 20 examinees, an additional proctor for each additional 20 examinees).
- d. Accommodations for documented disabilities in accordance with the Americans with Disabilities Act (ADA) allowed in the exam room
 - i. Accommodations that can be handled with a regular exam administration (e.g. use of magnifier)
 - ii. Accommodations that require a special exam administration (e.g. extended testing time, reader)
- e. Admitting examinees into the exam room
- f. Review and remind examinees of "code of ethics" (responsible for confidentiality and security of the exam)
- g. Administering the exam follow proctoring scripts
- h. Distributing exam materials
- i. Timing and break policies
- i. Collection and return of secure exam materials

III. Test Security

- a. All exam (MCTs, test scenarios) materials must be stored in locked location always unless being administered
- b. Limited access to exam materials
- c. Test security agreement signed annually by all exam staff
- d. Test questions should never be brought into the classroom unless being administered

IV. Maintaining Security in the Exam Room/Proctor Responsibilities

- a. Accounting for test materials
- b. Conducting the test (remain vigilant and do not engage in activities that are not exam related)
- c. Monitor equipment use

V. Procedures for Reporting and Handling Test Irregularities and Compromises

- a. Test irregularities
 - i. Misconduct or inappropriate behavior of examinees
 - ii. Test question error or ambiguities
 - iii. Student complaints
 - iv. Other incidents or disturbances (e.g., uncontrollable events such as fire alarms, power outage)

b. Compromises

- i. Test is lost or stolen
- ii. Unauthorized access to a test
- iii. Examinee copies from another examinee
- iv. Individual attempts to impersonate and take a test for an examinee
- v. Examiners and examinees share information about an exam
- vi. Examinee receives answers to questions before the test
- c. Investigating compromises
- d. Consequences of a security breach
 - i. Agency
 - ii. Examiners
 - iii. Examinees

VI. Accommodated Test Administration

- a. Requests for accommodations
- b. Required documentation for disabilities

VII. Document Retention Policies

- a. Test materials that should be retained in a secure location
- b. Retention schedule for test materials (abide by record retention policy of your agency)

VIII. Emergency Plan

- a. Identify staff responsible for managing an emergency
- b. List emergency supplies available in the exam room
- c. Identify alternative exam rooms and secure transportation of tests
- d. Detailed plans for how to handle specific emergencies (e.g., violence, natural disasters, illness, hazardous waste, bomb threat)

IX. Test Development

- a. Instructors develop own test questions
- Write at least two test questions for each performance objective; one for test and one for remedial test. Best practice would be one additional question in case there are problems with the other two
- c. Review evaluate (Are the items functioning?) and revise tests regularly
- d. Test development oversight by training coordinator

X. Remediation

The provider testing policy will identify how many times a trainee will be remediated in cases where he or she does not pass the Multiple-Choice Test (MCT), Written Skills Test (WST), Behavior Skills Test (BST). To successfully complete the course, each trainee must pass all tests.

The policy will minimally include:

- a. What is the provider's cut-off score for each test? This does not include WST or BST, which are pass/fail only.
- b. Procedure for the re-taking of a new test, with new test questions.
- c. The number of test failures that constitute a course failure.
- d. Briefing on testing procedures and successful course completion requirements to trainees prior to first test delivery.